# Prospective, Multi-Institutional, Real-Time Next-Generation Sequencing of Pancreatic Cyst Fluid Reveals Diverse Genomic Alterations That Improve the Clinical Management of Pancreatic Cysts

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BACKGROUND AND AIMS: Next-generation sequencing (NGS) 121 of pancreatic cyst fluid is a useful adjunct in the assessment of 122 patients with pancreatic cyst. However, previous studies have 123 been retrospective or single institutional experiences. The aim 124 of this study was to prospectively evaluate NGS on a multi-125 institutional cohort of patients with pancreatic cyst in real 126 time. METHODS: The performance of a 22-gene NGS panel 127 (PancreaSeq) was first retrospectively confirmed and then 128 within a 2-year timeframe, PancreaSeq testing was prospec-129 tively used to evaluate endoscopic ultrasound-guided fine-130 needle aspiration pancreatic cyst fluid from 31 institutions. 131 PancreaSeq results were correlated with endoscopic ultrasound 132 findings, ancillary studies, current pancreatic cyst guidelines, 133 follow-up, and expanded testing (Oncomine) of postoperative specimens. RESULTS: Among 1933 PCs prospectively tested, 134 1887 (98%) specimens from 1832 patients were satisfactory 135 for PancreaSeq testing. Follow-up was available for 1216 (66%) 136 patients (median, 23 months). Based on 251 (21%) patients 137 with surgical pathology, mitogen-activated protein kinase/ 138 GNAS mutations had 90% sensitivity and 100% specificity for a 139 mucinous cyst (positive predictive value [PPV], 100%; negative 140 predictive value [NPV], 77%). On exclusion of low-level vari-141 ants, the combination of mitogen-activated protein kinase/ 142 GNAS and TP53/SMAD4/CTNNB1/mammalian target of rapa-143 mycin alterations had 88% sensitivity and 98% specificity for 144 advanced neoplasia (PPV, 97%; NPV, 93%). Inclusion of cyto-145 pathologic evaluation to PancreaSeq testing improved the 146 sensitivity to 93% and maintained a high specificity of 95% 147 (PPV, 92%; NPV, 95%). In comparison, other modalities and 148 current pancreatic cyst guidelines, such as the American 149 Gastroenterology Association and International Association of 150 Pancreatology/Fukuoka guidelines, show inferior diagnostic 151 performance. The sensitivities and specificities of VHL and 152 MEN1/loss of heterozygosity alterations were 71% and 100% 153 for serous cystadenomas (PPV, 100%; NPV, 98%), and 68% and 154 98% for pancreatic neuroendocrine tumors (PPV, 85%; NPV, 155 95%), respectively. On follow-up, serous cystadenomas with 156 TP53/TERT mutations exhibited interval growth, whereas 157 pancreatic neuroendocrine tumors with loss of heterozygosity of >3 genes tended to have distant metastasis. None of the 965 158 patients who did not undergo surgery developed malignancy. 159 Postoperative Oncomine testing identified mucinous cysts with 160 BRAF fusions and ERBB2 amplification, and advanced neoplasia 161 with CDKN2A alterations. CONCLUSIONS: PancreaSeq was not 162 only sensitive and specific for various pancreatic cyst types and 163 advanced neoplasia arising from mucinous cysts, but also re-164 veals the diversity of genomic alterations seen in pancreatic 165 cysts and their clinical significance. 166

*Keywords:* Pancreas; Early Detection; Pancreatic Neoplasm; Diagnosis; Pancreatic Cancer.

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The detection of pancreatic cysts by cross-sectional imaging has become increasingly frequent and represents a significant public health challenge. In the United States, it is estimated that up to 2.5% of the general population harbors a pancreatic cyst.<sup>1,2</sup> The prevalence of pancreatic cysts increases with age and up to 40% of patients who are 70 years and older have a pancreatic cyst.<sup>3</sup> In

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WHAT YOU NEED TO KNOW

## BACKGROUND AND CONTEXT

While previous studies have shown targeted nextgeneration sequencing is a useful adjunct to the preoperative evaluation of pancreatic cysts, these studies have largely been retrospective analyses, single institutional experiences, and focused on intraductal papillary mucinous neoplasms.

### **NEW FINDINGS**

Through prospective, real-time, multi-institutional nextgeneration sequencing (PancreaSeq) of a large patient cohort, a diverse number of genomic alterations were identified in intraductal papillary mucinous neoplasms (eg, *BRAF*), serous cystadenomas (eg, *TP53* and *TERT*), and pancreatic neuroendocrine tumors (eg, loss of heterozygosity of multiple genes) and are of associated clinical significance.

### LIMITATIONS

Considering most pancreatic cysts follow a benign clinical course, diagnostic surgical pathology was available for 14% of tested patients. However, clinical follow-up with a median of 23 months was available for an additional 52% of patients.

### IMPACT

The results of this study support the clinical utility of targeted next-generation sequencing in the evaluation of not only pancreatic mucinous cysts, but other cyst types. This study also broadens the number of genomic alterations that characterize pancreatic cysts.

addition, approximately half of all pancreatic cysts are mucinous cysts, such as intraductal papillary mucinous neoplasms (IPMNs) and mucinous cystic neoplasms (MCNs). IPMNs and MCNs are noninvasive precursor neoplasms to pancreatic ductal adenocarcinoma (PDAC).<sup>4</sup> Consequently, the identification of mucinous cysts is a source of psychological stress for both the patient and the physician, but most mucinous cysts are indolent in nature and only a minority will transform into PDAC.<sup>1,5</sup>

A multidisciplinary approach is currently advocated for the diagnosis and management of pancreatic cysts<sup>6-9</sup>; however, the evaluation of pancreatic cyst fluid is critical to

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Abbreviations and Acronyms: AF, allele frequency; ALT, alternative lengthening of telomeres; CEA, carcinoembryonic antigen; EUS, endoscopic ultrasound; FNA, fine-needle aspiration; IPMN, intraductal papillary mucinous neoplasm; LOH, loss of heterozygosity; MAPK, mitogen-activated protein kinase; MCN, mucinous cystic neoplasm; MGP, Molecular and Genomic Pathology; mTOR, mammalian target of rapamycin; NGS, next-generation sequencing; NPV, negative predictive value; PanNET, pancreatic neuroendocrine tumor; PDAC, pancreatic ductal adenocarcinoma; PPV, positive predictive value; SCA, serous cystadenoma; UPMC, University of Pittsburgh Medical Center; WHO, World Health Organization.

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the classification of pancreatic cysts and early detection of 241 PDAC. Among ancillary studies, targeted DNA-based next-242 generation sequencing (NGS) is a useful tool in the assess-243 ment of pancreatic cysts.<sup>10-13</sup> Mutations in the mitogen-244 activated protein kinase (MAPK) genes and/or GNAS are 245 specific for mucinous cysts, whereas alterations in TP53, 246 SMAD4, and the mammalian target of rapamycin (mTOR) 247 genes are associated with advanced neoplasia (high-grade 248 dysplasia and PDAC arising from a mucinous cyst).14-17 249 Targeted NGS can also be used to identify other pancreatic 250 cyst types, such as serous cystadenomas (SCAs), solid-251 pseudopapillary neoplasms, and cystic pancreatic neuroen-252 docrine tumors (PanNETs) that are characterized by muta-253 tions in VHL, CTNNB1, and MEN1, respectively.<sup>10,12,13,18</sup> 254

To date, several studies have evaluated targeted DNA-255 based NGS of pancreatic cysts, but published reports have 256 largely been limited to retrospective analyses or single 257 institutional experiences.<sup>10,11,13,19</sup> In addition, most NGS 258 studies have been focused on the assessment of IPMNs and 259 IPMN-associated PDACs. The aims of this study were to (1) 260 develop an expanded, targeted NGS panel (PancreaSeq) that 261 can improve not only the assessment of IPMNs and IPMN-262 associated PDACs, but also other cyst types; (2) on confir-263 mation of PancreaSeq performance using a retrospective 264 cohort, to prospectively evaluate a multi-institutional cohort 265 of pancreatic cyst patients in real time to determine the 266 diagnostic performance of PancreaSeq testing; and (3) 267 perform repeat PancreaSeq testing and expanded targeted 268 DNA/RNA-based NGS (Oncomine) of paired postoperative 269 specimens to establish concordance rates and identify 270 additional genomic alterations that may further improve the 271 assessment of pancreatic cysts. 272

# Methods

## Study Population

277 Study approval was obtained from the authors' respective 278 institutional review boards and the study design is outlined in 279 Figure 1. For retrospective PancreaSeq testing (Supplementary 280 Data and expected results are summarized in Supplementary 281 Table 1), pancreatic cyst fluid specimens with corresponding 282 clinical, imaging, and diagnostic surgical pathology follow-up 283 were obtained through searching the molecular archives of 284 the Molecular and Genomic Pathology (MGP) laboratory at the 285 University of Pittsburgh Medical Center (UPMC) and cross-286 referencing the surgical pathology archives of UPMC Depart-287 ment of Pathology. These retrospective molecular specimens 288 were previously reported in 2 large patient cohort studies.<sup>10,15</sup> 289 Prospective PancreaSeq testing was performed between 290 January 2018 and February 2020 and consisted of 1933 291 pancreatic cyst fluid specimens obtained by endoscopic ultra-292 sound (EUS)-fine-needle aspiration (FNA) that were submitted to the UPMC MGP laboratory from 31 medical institutions. In all 293 cases, the indication for PancreaSeq testing was a clinical 294 concern for a pancreatic cyst. Corresponding patient data were 295 collected to include demographics, clinical presentation, EUS 296 findings, fluid viscosity (as noted by the endoscopist using the 297 string sign), carcinoembryonic antigen (CEA) analysis and 298 cytopathological diagnoses. Endoscopic criterion of main duct 299

dilatation was defined by a diameter >5 mm. In addition, the presence of a mural nodule was defined as a uniform echogenic nodule of any size without a lucent center or hyperechoic rim. A value >192 ng/mL was used as a cutoff for an elevated pancreatic cyst fluid CEA; however, CEA analysis was not centralized and performed at the submitting institution or reference laboratory. Cytopathologic findings were recorded from the respective submitting institutions and malignant cytopathology was defined as at least suspicious for adenocarcinoma. Diagnostic surgical pathology diagnoses were also obtained from each participating institution and were based on the 2019 World Health Organization (WHO) Classification of Tumors of the Digestive System.<sup>20</sup> Cases diagnostic for a mucinous pancreatic cyst (IPMN and MCN) with high-grade dysplasia and/or an associated invasive adenocarcinoma were interpreted as "advanced neoplasia." In comparison with PancreaSeq testing, absolute surgical resection criteria for the American Gastroenterology Association (AGA) guidelines (cytopathologic evaluation of at least suspicious for adenocarcinoma and/or 2 of the following features: dilated main pancreatic duct, >3.0 cm cyst size, and a solid component) and 2017 revised International Consensus Fukuoka (IAP/Fukuoka) guidelines (high-risk stigmata: jaundice in a patient with a cystic lesion of the pancreatic head, the presence of a mural nodule, main duct dilation suspicious for involvement, and/or cytopathologic evaluation of at least suspicious for adenocarcinoma) were retrospectively applied to the prospectively collected surgical resection study cohort.7,21

## Nucleic Acid Extraction

Nucleic acid extraction, as well as subsequent DNA- and RNA-based targeted NGS, was performed within the Clinical Laboratory Improvement Amendments- and College of American Pathologists-accredited MGP laboratory at UPMC. Genomic DNA and mRNA were isolated from either pancreatic cyst fluid obtained by EUS-FNA (preoperative specimens) or formalinfixed paraffin-embedded tissue (surgical resection specimens) using the MagNA Pure LC Total Nucleic Acid Isolation Kit (Roche, Indianapolis, IN) on the Compact MagNA Pure (Roche) or the DNeasy Blood and Tissue kit on the automated QIAcube instrument (QIAGEN, Germantown, MD). Extracted DNA and RNA were quantitated on the Glomax Discover using the QuantiFluor ONE dsDNA System and the QuantiFluor RNA system, respectively (Promega, Madison, WI).

## PancreaSeq Testing

Amplification-based targeted DNA-based NGS for PancreaSeq was performed with custom AmpliSeq primers for genomic regions of interest within *AKT1*, *APC*, *BRAF*, *CTNNB1*, *GNAS*, *HRAS*, *IDH1*, *IDH2*, *KRAS*, *MEN1*, *MET*, *NF2*, *NRAS*, *PIK3CA*, *PTEN*, *STK11*, *TERT*, *TP53*, *TSC2*, and *VHL* with primer sequences and performance characteristics as previously described to include single nucleotide variants, insertions, deletions, and loss of heterozygosity (LOH)/copy number alteration.<sup>10,12,13,22</sup> Amplicons were barcoded, ligated with specific adapters, and purified. DNA library quantity and quality checks were performed using the 4200 TapeStation (Agilent Technologies, Santa Clara, CA). The Ion Chef was used to prepare and enrich templates and enable testing via Ion Sphere Particles on a semiconductor chip. Massive parallel

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 Genomic alterations

 None
 Frameshift mutation

 Low-level missense mutation
 Splice site mutation

 Low-level missense mutation
 Nonframeshift deletion/insertion

 Loss of heterozygosity
 Loss of heterozygosity

 Figure 1. (A) A summary of the study design to include details of individual patient cohorts used for PancreaSeq testing (tan) and individual analyses performed (blue). (B) Correlative genomic findings based on retrospective PancreaSeq testing of 97 preoperative pancreatic cyst fluid specimens from 63 mucinous cysts and 34 nonmucinous cysts. Among the 63 mucinous cysts, 22 cysts also harbored high-grade dysplasia and/or invasive adenocarcinoma (advanced neoplasia). Genomic alterations in *KRAS, GNAS,* and/or *BRAF* were 100% specific for mucinous cysts, whereas alterations in *TP53, SMAD4*, and/or the mTOR genes were preferentially seen in mucinous cysts with advanced neoplasia. Similarly, genomic alterations in *MEN1* and *VHL* were highly specific for cystic PanNETs and SCAs, respectively. The mTOR genes include *PIK3CA* and *PTEN*. HGD, high-grade dysplasia; LGD, low-grade dysplasia.

sequencing was carried out on an Ion GeneStudio S5 Prime System according to the manufacturer's instructions (Thermo Fisher Scientific, Waltham, MA) and data were analyzed with an in-house bioinformatics program, Variant Explorer (UPMC). Each variant was prioritized according to the 2017 AMP/ASCO/ CAP joint consensus guidelines for interpretation of sequence variants in cancer using a tier-based system.<sup>23</sup> Tier I, Tier II, and Tier III variants were identified; however, only Tier I and Tier II variants were used for subsequent analysis. The limit of detection of the assay was at 1% mutant allele frequency (AF). The minimum depth of coverage for testing was  $1000 \times$ . For 

each mutation identified, an AF was calculated based on the number of reads of the mutant allele versus the wild-type allele and reported as a percentage.<sup>10</sup> A low-level variant was classified based on a 10-fold lower AF as compared with the AF for a MAPK/*GNAS* mutation.<sup>10</sup> LOH analysis was performed as previously described.<sup>24,25</sup>

## **Oncomine Testing**

Expanded targeted NGS-based testing from DNA and mRNA was also performed within the MGP lab at UPMC using the

Oncomine Comprehensive Assay v3 (Oncomine) DNA and RNA 481 primer sets (Thermo Fisher Scientific) according to the manu-482 facturer's protocol. The Oncomine panel evaluates 161 cancer-483 relevant driver genes to include 760 fusion genes. Briefly, total 484 DNA and mRNA that is reverse transcribed into complementary 485 DNA are subjected to multiplex polymerase chain reaction to 486 amplify the regions of interest. Amplicons were barcoded, 487 ligated with specific adapters, and purified. RNA library quan-488 tity and quality check were performed using the 4200 TapeS-489 tation (Agilent Technologies, Santa Clara, CA). The Ion Chef was 490 used to prepare and enrich templates and enable testing via Ion 491 Sphere Particles on a semiconductor chip. Massive parallel 492 sequencing was carried out on an Ion GeneStudio S5 Prime 493 System according to the manufacturer's instructions (Thermo 494 Fisher Scientific) and data were analyzed with Variant Explorer (UPMC) for single nucleotide variant, insertions, deletions, copy 495 496 number alterations, and RNA fusion genes. The limit of detection of this DNA/RNA assay was 1% to 5% neoplastic cells. 497

## Statistical Analysis

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 $\chi^2$  analysis or Fisher's exact tests were used to compare categorical data, and Mann-Whitney *U* test was used to compare continuous variables. Sensitivity and specificity were calculated using standard 2×2 contingency tables for cases with confirmed diagnostic pathology. All statistical analyses were performed using the SPSS Statistical software, V.26 (IBM, Armonk, NY) and statistical significance was defined as a *P* value of <.05.

## Results

## Retrospective PancreaSeq Testing of 97 Patients With Diagnostic Surgical Pathology

513 A retrospective diagnostic performance confirmation 514 cohort of 97 patients who underwent EUS-FNA for a 515 pancreatic cyst and had follow-up diagnostic surgical pa-516 thology was evaluated using an expanded NGS panel (Pan-517 creaSeq) of 22 pancreatic cyst-associated genes 518 (Supplementary Data and expected results are summarized 519 in Supplementary Table 1). The results of retrospective 520 PancreaSeq testing are summarized in Figure 1 (and 521 Supplementary Table 2). Genomic alterations in KRAS, GNAS, 522 and/or BRAF were detected in 56 of 63 (89%) mucinous 523 cysts. Among mucinous cysts with advanced neoplasia, al-524 terations in TP53, SMAD4, and the mTOR genes were iden-525 tified in 19 of 22 (86%) cases. Further, 3 of 31 (10%) IPMNs 526 with low-grade dysplasia harbored *PIK3CA* (n = 2) and 527 TP53 (n = 1) mutations; but, in comparison with KRAS 528 missense mutations, alterations in *PIK3CA* and *TP53* were at 529 a lower AF (low-level). Mutations in VHL and MEN1 were 530 also seen, but specific to SCAs (1 of 2, 50%) and cystic 531 PanNETs (2 of 9, 22%), respectively. Twenty-three non-532 neoplastic cysts were negative for genomic alterations. The 533 sensitivity and specificity of MAPK/GNAS alterations for a 534 mucinous cyst was 89% and 100%, respectively. In addi-535 tion, mutations in GNAS and/or BRAF were 100% specific 536 for IPMNs. In conjunction with MAPK/GNAS mutations, al-537 terations in TP53, SMAD4, and the mTOR genes had 86% 538 sensitivity and 96% specificity for a mucinous cyst with 539 540

advanced neoplasia. However, on exclusion of low-level *TP53* and *PIK3CA* mutations, the sensitivity and specificity for advanced neoplasia was 86% and 100%, respectively.

## Prospective, Real-Time, Multi-institutional PancreaSeq Testing of 1832 Patients

Prospective PancreaSeq testing was attempted for 1933 EUS-FNA obtained pancreatic cyst fluid specimens from 1889 patients and collected from 31 institutions over a 2year time frame. Sufficient DNA for PancreaSeq testing was identified in 1887 (98%) specimens from 1832 patients (Supplementary Table 3). Two pancreatic cysts were sampled for 55 (3%) patients at the same EUS-FNA procedure with the clinical indication that the 2 cysts were identified in a different region of the pancreas (head/uncinate/neck versus body/tail). Overall, genomic alterations were detected in 1220 (65%) specimens. Genomic alterations in KRAS, BRAF, NRAS, and HRAS were seen in 917 (49%), 91 (5%), 2 (<1%), and 1 (<1%) cysts, respectively (Figure 2 and Supplementary Data). In contrast to other gastrointestinal neoplasms, a minority of BRAF alterations were V600E/L/M/R mutations (class I mutations), and instead were predominantly class II and class III BRAF mutations (n = 83, 91%) (Supplementary Table 4). The most prevalent BRAF alteration was an in-frame deletion involving codon 486. Activating GNAS mutations were seen in 569 (30%) cyst fluid specimens, and co-occurred with either KRAS, BRAF, or both genes in 441 (of 569, 78%), 57 (10%), and 12 (2%) cases. Among GNAS-mutant cysts, 510 (90%) harbored a genomic alteration in at least 1 gene involved within the MAPK pathway. In total, mutations in the MAPK genes and GNAS were detected in 1050 (56%) cases (Supplementary Table 5). Multiple mutations in KRAS and GNAS were found in 138 (7%) and 26 (1%) cysts, respectively. In addition, a concurrent LOH in KRAS and GNAS was seen in 4 and 1 case, respectively.

Among 1050 MAPK/GNAS-mutant cysts, 158 (15%) were found to have *TP53*, *SMAD4*, and/or mTOR gene alterations (Supplementary Table 6). With respect to MAPK/GNAS AF, low-level point mutations in *TP53* and *PIK3CA* were seen in 18 (of 158, 11%) and 8 (5%) cases, respectively. In addition to *TP53*, *SMAD4*, and the mTOR genes, 11 MAPK/GNAS-mutant cysts had *CTNNB1* mutations. Five of 11 MAPK/GNAS/CTNNB1-mutant cysts had low-level *CTNNB1* missense mutations as compared with the AF for the MAPK/GNAS gene(s). Further, none of the MAPK/GNAS/CTNNB1-mutant cysts had co-occurring *TP53*, *SMAD4*, and/or mTOR gene alterations (Supplementary Table 7).

In the absence of a MAPK/*GNAS* mutation (n = 837), alterations in *VHL*, *MEN1*, or both genes were seen in 125 (15%), 19 (2%), and 11 (1%) cysts, respectively. Co-occurring alterations were identified in 37 of 125 (30%) *VHL*-mutant/*MEN1* wild-type cysts and included point mutations in *TP53* (n = 5), the *TERT* promoter (n = 5), and *PTEN* (n = 1) as well as LOH for *PTEN* (n = 19), *TP53* (n = 18), *SMAD4* (n = 18), and *RNF43* (n = 15). Six of 19 (32%) *MEN1*-mutant/*VHL* wild-type cysts also harbored co-occurring alterations that included a *TP53* missense

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mutation (n = 1) and LOH in *SMAD4* (n = 6). Interestingly, 601 the VHL alterations in all 11 VHL/MEN1-mutant cysts con-602 sisted of LOH alterations. Further, 9 of 11 (82%) VHL/ 603 *MEN1*-mutant cysts had co-occurring LOH in *TP53* (n = 6), 604 SMAD4 (n = 5), RNF43 (n = 5), and/or PTEN (n = 9). In the 605 absence of VHL and/or MEN1 alterations, LOH in TP53 (n = 606 5), SMAD4 (n = 13), RNF43 (n = 5), and/or PTEN (n = 4) 607 was identified in 21 cysts. Point mutations in TP53 as the 608 sole genomic alteration were seen in 7 cases. Finally, IDH1 609 and IDH2 missense mutations were detected in 1 cyst each 610 without co-occurring alterations. 611

## Clinicopathologic Correlation and Follow-up Information for 1216 Patients

615 Associated clinicopathologic data were available for 616 1216 of 1832 (66%) patients (Supplementary Data and 617 Supplementary Table 3) that includes 1253 EUS-FNA ob-618 tained pancreatic cyst fluid specimens with genomic alter-619 ations detected in 851 specimens, whereas the remaining 620 402 specimens were negative for detectable mutations. In 621 addition, follow-up information ranged between 2 and 35 622 months (mean, 20 months; median, 21 months). Diagnostic 623 surgical pathology was available for 251 of 1216 (21%) 624 patients who underwent surgery within 2 to 34 months 625 (mean, 9 months; median, 4 months) from initial EUS-FNA 626 and PancreaSeq testing. This cohort of surgical resected 627 lesions consisted of 246 cysts arising within the pancreas 628 (Figure 3) and 5 metastatic carcinomas involving the 629 pancreas. Alterations in KRAS, BRAF, and/or GNAS were 630 preoperatively detected in 159 of 167 (95%) IPMNs and 631 KRAS missense mutations were seen in 9 of 19 (47%) MCNs. 632 In addition to MAPK/GNAS mutations, alterations in TP53, 633 SMAD4, and/or the mTOR genes were identified in 77 of 90 634 (86%) IPMNs with advanced neoplasia, 6 of 6 (100%) MCNs 635 with advanced neoplasia, and 5 of 77 (6%) IPMNs with low-636 grade dysplasia (Figure 4 and Supplementary Figure 1). 637 CTNNB1 missense mutations were also detected in 2 IPMNs 638 with high-grade dysplasia and 1 IPMN with low-grade 639 dysplasia. Both IPMNs with high-grade dysplasia were 640 negative for alterations in TP53, SMAD4, and the mTOR 641 genes. Low-level point mutations in TP53, PIK3CA, PTEN, 642 and CTNNB1 corresponded to either an IPMN with low-643 grade dysplasia or an MCN with low-grade dysplasia. LOH 644 in KRAS or GNAS was also observed in 4 IPMNs with an 645 associated adenocarcinoma; however, 1 of 4 IPMNs was 646 preoperatively negative for alterations in TP53, SMAD4, 647 CTNNB1, and the mTOR genes. 648

All 13 (100%) SCAs harbored VHL alterations. In addi-649 tion to VHL, 4 SCAs harbored point mutations in either TP53 650 (n = 2) or the TERT promoter (n = 2). Before surgical 651 resection, all 4 SCAs with a TP53 or TERT promoter muta-652 tion demonstrated an interval increase in cyst size 653 (Supplementary Figure 2). Further, 1 TP53-mutant SCA 654 exhibited progressive stricturing of the main pancreatic 655 duct and both acute and chronic pancreatitis. Thirty-four 656 patients who underwent surgery were found to have a 657 cystic PanNET. Genomic alterations found in preoperative 658 cyst fluid specimens from these 34 cystic PanNETs included 659

7 with *MEN1* mutations and 16, 14, 13, 12, and 11 cases with LOH for *SMAD4*, *VHL*, *TP53*, *PTEN*, and *RNF43*, respectively. Collectively, the presence of an *MEN1* mutation and/or LOH were seen in 24 of 34 (71%) cases.

To further analyze the clinicopathologic features of PanNETs harboring LOH for SMAD4, VHL, TP53, PTEN, and/ or RNF43, 53 preoperative biopsies from patients with a solid PanNET encountered during the study period were tested using PancreaSeq and correlated with surgical outcome and associated follow-up (Supplementary Data and Supplementary Table 8). Based on a total of 87 PanNETs (34) cyst fluid specimens and 53 biopsies), MEN1 alterations were identified in 21 (42%) cases, whereas LOH of SMAD4, VHL, TP53, PTEN, and/or RNF43 was seen in 51 (59%) cases (Figure 5). The presence of LOH for  $\geq 1$  gene correlated with perineural invasion, lymphovascular invasion, regional lymph node metastases, and distant organ metastasis (P <.012). LOH for >1 gene was also associated with loss of protein expression for ATRX and DAXX, and the presence of alternative lengthening of telomeres (ALT) by telomerespecific fluorescence in situ hybridization (P < .001). Of note, within this solid and cystic PanNET study cohort, 21 of 51 (41%) PanNETs with LOH of  $\geq 1$  gene were 1.0 to 2.0 cm in greatest dimension.

The remaining 965 patients had clinical follow-up data, but no diagnostic surgical pathology. Of the 965 patients, 2 pancreatic cysts were sampled from 37 patients, and 495 (51%) patients had a pancreatic cyst with a MAPK/GNAS alteration. For the 37 patients with 2 pancreatic cyst specimens, both specimens harbored mutations in the MAPK and/or GNAS genes. Twelve of the 495 (2%) patients also had mutations in TP53 (n = 6) or PIK3CA (n = 6), but all except 1 case with a PIK3CA mutation were low-level point mutations. Co-occurring CTNNB1 missense mutations were seen in 6 cases, and 4 of 6 cases were low-level alterations. For the 470 patients with a MAPK/GNAS wild-type cyst, alterations in VHL, MEN1, or both genes were seen in 79 (17%), 8 (2%), and 8 (2%) cysts, respectively. Six VHLmutant/MEN1 wild-type cysts also harbored point mutations in TP53 (n = 3) and the TERT promoter (n = 3). During follow-up, 4 of these 6 VHL-mutant/MEN1 wild-type cysts exhibited an increase in cyst size.

## Comparison and Combination of PancreaSeq Testing With Other Diagnostic Modalities

Excluding 5 metastatic carcinomas, preoperative PancreaSeq detection of MAPK/GNAS mutations had 90% sensitivity and 100% specificity for a mucinous cyst (Table 1). Increased fluid viscosity and an elevated CEA of >192 ng/mL had lower sensitivities (77% and 73%, respectively) and lower specificities (92% and 94%, respectively). In conjunction with MAPK/GNAS mutations, alterations in *TP53, SMAD4*, and/or the mTOR genes had 85% sensitivity and 96% specificity for a mucinous cyst with advanced neoplasia. The sensitivity and specificity for advanced neoplasia increased to 87% and 99%, respectively, on inclusion of MAPK/GNAS LOH or *TP53, SMAD4*, and/or mTOR gene alterations with equivalent allele

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**Figure 2.** (*A*) An area-proportional Venn diagram demonstrates the distribution of *KRAS*, *GNAS*, *BRAF*, *NRAS*, and *HRAS* mutations identified through prospective PancreaSeq testing of 1887 pancreatic cysts. In addition to *KRAS* and *GNAS*, *BRAF* alterations were often identified in EUS-FNA obtained pancreatic cyst fluid specimens and frequently co-occurred with *GNAS* mutations. (*B*) Most *BRAF* alterations found in pancreatic cysts were non-V600E mutations and were predominantly categorized as class II and class III *BRAF* mutations (n = 83, 91%). (*C*) Based on correlative imaging and pathologic studies, *BRAF*-mutant pancreatic cysts (*white arrowhead*) were commonly found to communicate with the main pancreatic duct, and (*D*) on gross pathology, exhibited abundant, thick mucin (*white arrowheads*). (*E* and *F*) Microscopically, *BRAF*-mutant cysts corresponded to an intraductal papillary mucinous neoplasm with prominent papillary fronds and often lined by both gastric and intestinal epithelium.

frequencies to MAPK/GNAS. Further, the inclusion of *CTNNB1* with equivalent allele frequencies to MAPK/GNAS achieved a sensitivity of 89% and a specificity of 98% for advanced neoplasia. In comparison, the presence of associated clinical symptoms, jaundice for pancreatic head cysts, cyst size of >3.0 cm, main pancreatic duct dilatation, a mural nodule on EUS, increasing cyst size, and a cytopathologic diagnosis of at least suspicious for adenocarcinoma were all associated with lower sensitivities and lower specificities. Moreover, combining PancreaSeq testing with the aforementioned parameters improved the overall sensitivity of detecting advanced neoplasia (Supplementary Table 9). The highest sensitivity of 93% while maintaining a high specificity of 95% was attained using both PancreaSeq testing and cytopathologic examination (Supplementary Table 10).

Considering current pancreatic cyst guidelines have primarily focused on detecting advanced neoplasia in IPMNs, a subanalysis of combined PancreaSeq testing and cytopathologic evaluation among the 167 resected IPMNs revealed a sensitivity and a specificity of 88% and 96%, respectively (Supplementary Table 11). A comparison of the absolute criteria for surgical management from the AGA guidelines and the IAP/Fukuoka guidelines showed lower sensitivities (72% and 86%) and lower specificities (66% and 36%) than PancreaSeq and cytopathologic evaluation. Incorporating PancreaSeq testing as another criterion to the AGA guidelines did increase the sensitivity of each alone to 96%, but the specificity was 62%. Similarly, combining PancreaSeq testing to the IAP/Fukuoka guidelines improved the sensitivity to 98%, but at a specificity of 34%. However, in the prospective clinical setting, distinguishing between IPMNs with advanced neoplasia and for that matter 

mucinous cysts with advanced neoplasia from other neoplastic and non-neoplastic pancreatic cysts can be challenging. Therefore, we evaluated the AGA guidelines, the IAP/ Fukuoka guidelines, and PancreaSeq testing in their ability to identify IPMNs and MCNs with advanced neoplasia among the 246 pancreatic cysts with diagnostic pathology. As per the AGA guidelines, the sensitivity and specificity for advanced neoplasia within a mucinous cyst was 72% and 75%, respectively, while the IAP/Fukuoka guidelines yielded a sensitivity of 84% and a specificity of 52%. The addition of PancreaSeq testing to the AGA guidelines and the IAP/ Fukuoka guidelines increased the sensitivities of both guidelines to 96% and 98%, respectively, but the specificities remained essentially the same at 73% and 51%, respectively.

Although the number of resected serous neoplasms was limited, the preoperative identification of *VHL* alterations in the absence of other genomic alterations had a sensitivity and specificity of 71% and 100%, respectively. Further, the inclusion of point mutations in *TP53* or the *TERT* promoter increased the sensitivity to 100% and the specificity remained at 100%. In comparison, cytopathology was consistent with a serous neoplasm for only 1 patient, whereas the mixed serous-neuroendocrine neoplasm was misdiagnosed as a PDAC in another patient.

For cystic PanNETs, *MEN1* alterations in preoperative pancreatic cyst fluid were associated with a sensitivity and specificity of 27% and 100%, respectively. However, the inclusion of LOH for *TP53*, *SMAD4*, *PTEN*, and/or *RNF43* improved the sensitivity to 68%, while the specificity remained high at 98%. A preoperative cytopathologic diagnosis of a neuroendocrine tumor had an 85% sensitivity and 100% specificity, and combination of PancreaSeq

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**Figure 3.** A summary of clinical presentation, imaging findings, pathologic features, preoperative PancreaSeq testing, and postoperative PancreaSeq/Oncomine results for 251 patients with pancreatic cyst with diagnostic surgical pathology. Preoperative genomic alterations involving *KRAS*, *GNAS*, and/or *BRAF* corresponded to the presence of a mucinous cyst, whereas additional alterations in *TP53*, *SMAD4*, *CTNNB1*, and/or the mTOR genes were preferentially found in mucinous cysts with advanced neoplasia. Other key findings were the preoperative detection of LOH for multiple genes that correlated with the presence of a cystic PanNET, and the identification of *TP53* and *TERT* promoter mutations in large SCAs. Postoperative PancreaSeq/Oncomine testing revealed the presence of novel *BRAF* fusion genes and *ERBB2* amplification in *RAS* wild-type IPMNs (Supplementary Figure 3). Moreover, *CDKN2A* alterations were preferentially found in IPMNs with advanced neoplasia. MAPK genes include *KRAS*, *BRAF*, *HRAS*, *ERBB2*, and *MAPK1*, and mTOR genes include *PTEN*, *PIK3CA*, and *AKT1*.

testing and cytopathology yielded a sensitivity of 97% and a specificity of 98%. Further, the association with metastatic progression increased with the number of genes exhibiting LOH. An LOH of  $\geq$ 3 genes had a sensitivity and specificity of 83% and 76%, respectively, for distant metastasis (Table 2). Comparatively, preoperative tumor size of >2.0 cm and pre-operative histologic grade of at least G2 had sensitivities of 92% and 75%, respectively, and specificities of 50% and 74%, respectively, for distant metastasis. Interestingly, among 31 patients with cystic PanNET, 19 patients had tumors of 1.0 to 2.0 cm and only 1 of the 19 patients developed metastatic progression. This WHO grade 1, cystic PanNET harbored LOH 

for *VHL*, *TP53*, *SMAD4*, *PTEN*, and *RNF43*. Overall, the key genomic alterations detected by PancreaSeq and clinical significance are summarized in Supplementary Figure 3.

## Comparative PancreaSeq/Oncomine Testing of Paired Pancreatic Cyst Fluid and Diagnostic Surgical Pathology Specimens

Repeat PancreaSeq and expanded targeted DNA/RNAbased (Oncomine) NGS testing were performed for 192 of 251 (77%) diagnostic surgical pathology specimens (Supplementary Table 12). Discordances between





print & web 4C/FPO Figure 4. Representative examples of diagnostic surgical pathology for IPMNs that had preoperative PancreaSeg testing. (A) A Q9 branch-duct IPMN that was resected because of the presence of a mural nodule (white arrowhead) detected on preoperative imaging. (B) The mural nodule corresponded to collapsed papillary fronds and (C) microscopically, correlated with low-grade dysplasia. Preoperative PancreaSeq testing detected the presence of KRAS and GNAS mutations, but an absence of TP53, SMAD4, CTNNB1, with mTOR gene alterations. (D) A branch-duct IPMN (white arrowhead) with focal ductal dilation and otherwise no concerning preoperative clinical, imaging, or preoperative pathologic findings. Preoperative PancreaSeq testing identified mutations in KRAS and GNAS, and LOH for PTEN and TP53. (E and F) Diagnostic surgical pathology revealed the presence of high-grade dysplasia. (G) A branch-duct IPMN (white arrowhead) with focal ductal dilatation and otherwise no concerning preoperative clinical, imaging, or preoperative pathologic findings. PancreaSeq testing detected a KRAS mutation and a low-level TP53 mutation. Although the submitting surgical pathology report documented the presence of an IPMN with low-grade dysplasia, a (H) focal area of cytologic atypia was identified and (I) corresponded to aberrant nuclear p53 expression. (J) A 3.0-cm branch-duct IPMN (white arrowhead) with otherwise no concerning preoperative clinical, imaging, or preoperative pathologic findings; however, PancreaSeq testing identified a KRAS mutation and SMAD4 LOH. (K) Although histologically consistent with an IPMN with low-grade dysplasia, (L) diffuse loss of Smad4 expression was seen throughout the IPMN. The mTOR genes include PIK3CA and PTEN.

preoperative and postoperative testing were identified in 25 cases and exclusively seen in IPMNs (Figure 3). Of interest, 9 discrepant cases were due to the lack of detectable MAPK/ GNAS mutations in preoperative pancreatic cyst fluid spec-imens. For the remaining 16 cases, discrepancies were seen in RNF43 (n = 8), TP53 (n = 7), SMAD4 (n = 2), CTNNB1 (n = 1), and the mTOR genes (n = 3), but did not affect the overall sensitivity and specificity of PancreaSeq testing. In addition, Oncomine testing found 4 MAPK-negative IPMNs 

harboring *BRAF* fusions (n = 3) and *ERBB2* amplification (n = 1) (Supplementary Figure 4). To further characterize *BRAF*-mutant IPMNs, whole transcriptome sequencing revealed a similar gene expression profile as *KRAS*-mutant IPMNs (Supplementary Data and Supplementary Figure 5). Additional genomic alterations found among IPMNs included those involving *CDKN2A* (18 of 131 IPMNs, 14%) and *ARID1A* (n = 6, 4%). *CDKN2A* alterations were only detected in IPMNs with advanced neoplasia (18 of 75 cases).

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## Discussion

Despite retrospective studies and single institutional experiences, questions remain as to whether DNA-based targeted NGS can improve pancreatic cyst classification and the detection of advanced neoplasia arising in a mucinous cyst.<sup>10-13,19</sup> Based on a multi-institutional, prospectively collected cohort of patients with pancreatic cyst who were evaluated using a centralized molecular laboratory, mutations in the MAPK genes and/or *GNAS* achieved a sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) for mucinous cysts of 90%, 100%, 100%, and 77%, respectively. Both fluid viscosity and elevated CEA levels demonstrated lower sensitivities and lower specificities. Combining PancreaSeq testing with CEA analysis increased the sensitivity to 99%, but at a loss in specificity of 73%. Similarly, MAPK/*GNAS* LOH or *TP53*, *SMAD4*, and/or mTOR gene alterations with equivalent allele frequencies to MAPK/*GNAS* mutations attained 87% sensitivity, 99% specificity, 98% PPV, and 92% NPV for

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#### **Prospective NGS Testing of Pancreatic Cysts**

Table 1. Diagnostic Performance of PancreaSeq Testing and Other Diagnostic Modalities Based on 246 Diagnostically	
Confirmed Pancreatic Cysts	

Parameter	Sensitivity, % (95% Cl)	Specificity, % (95% Cl)	PPV, % (95% Cl)	NPV, % (95% CI)
PMN				
MAPK/GNAS mutations	95 (0.91-0.98)	89 (0.78-0.94)	95 (0.90-0.97)	90 (0.42-0.66)
Presence of multiple cysts $(n = 245)^{a}$	54 (0.46-0.62)	80 (0.69–0.88)	85 (0.76-0.91)	45 (0.37-0.54)
Increased fluid viscosity $(n - 238)^a$	79 (0 72–0 85)	81 (0 70–0 89)	89 (0.83-0.94)	66 (0 55-0 75)
Elevated CEA (n = $173$ ) <sup>a</sup>	74 (0.65–0.81)	73 (0.59–0.84)	86 (0.78–0.92)	54 (0.42-0.66)
PMN with advanced peoplesia	, ,	, , , , , , , , , , , , , , , , , , ,	,	
<i>TP53. SMAD4.</i> and/or mTOR gene alterations	87 (0.78–0.93)	76 (0.69–0.83)	68 (0.58–0.76)	91 (0.84–0.95)
TP53, SMAD4, CTNNB1, and/or mTOR gene	89 (0.80–0.94)	74 (0.67–0.81)	67 (0.57–0.75)	92 (0.86-0.96)
alterations				. (
MAPK/GNAS mutations with TP53, SMAD4,	84 (0.75–0.91)	92 (0.87-0.96)	86 (0.77-0.93)	91 (0.85-0.95)
and/or mTOR gene alterations				
MAPK/GNAS mutations with TP53, SMAD4,	87 (0.78–0.93)	91 (0.85–0.95)	85 (0.75–0.91)	92 (0.87–0.96)
CTNNB1, and/or mTOR gene alterations				
MAPK/GNAS LOH or TP53, SMAD4 and/or	86 (0.76–0.92)	95 (0.90–0.98)	91 (0.82–0.96)	92 (0.86–0.96)
IIIIOR gene AFS = IVIAPK/G/VAS AFS $MAPK/G/AS IOH ar TPE2 SMAD4 CTMMP1$	88 (0 70 0 04)	04 (0 80 0 07)		03 (0 99 0 06
and/or mTOR gene $AFs = MAPK/GNAS \Delta Fs$	00 (0.79-0.94)	94 (0.69-0.97)	90 (0.01–0.95)	93 (0.86–0.96)
Associated clinical symptoms $(n = 244)^2$	38 (0.28-0.49)	71 (0.64–0.78)	44 (0.33-0.55)	66 (0.59-0.73)
Jaundice $(n = 131)^{b}$	31 (0.20-0.44)	89 (0.78–0.95)	70 (0.50 - 0.86)	60 (0.50-0.69)
Index cyst size >3.0 cm (n = 242) <sup>a</sup>	56 (0.45-0.66)	55 (0.46-0.63)	41 (0.32-0.51)	68 (0.59-0.76)
Main pancreatic duct dilatation $(n = 244)^a$	71 (0.60–0.80)	65 (0.57–0.73)	54 (0.44–0.63)	80 (0.71–0.86)
Presence of a mural nodule $(n = 245)^a$	44 (0.34–0.55)	80 (0.72-0.85)	55 (0.43-0.67)	71 (0.64–0.78)
Increasing index cyst size $(n = 125)^a$	50 (0.34-0.66)	54 (0.43-0.65)	36 (0.24–0.49)	68 (0.55-0.79)
Malignant cytopathology <sup>c</sup>	46 (0.35–0.56)	95 (0.90-0.98)	84 (0.70–0.92)	75 (0.68–0.81)
PMN and MCN	00 (0.05, 0.04)	100 (0 00 1 00)	100 (0.07, 1.00)	
MAPK/GIVAS mutations	90 (0.85-0.94)	100 (0.93-1.00)	100 (0.97-1.00)	77 (0.66-0.85
Increased fluid viscosity (n = $238)^{\alpha}$	77 (0.70–0.83)	92 (0.81-0.97)	97 (0.92–0.99)	57 (0.47-0.67
Elevated CEA (n = $173$ ) <sup>2</sup>	73 (0.64–0.80)	94 (0.79–0.99)	98 (0.93–1.00)	46 (0.34–0.58
PMN and MCN with advanced neoplasia				
TP53, SMAD4, and/or mTOR gene alterations	88 (0.79–0.93)	79 (0.72–0.85)	73 (0.74–0.81)	91 (0.84–0.95)
TP53, SMAD4, CTNNB1, and/or mTOR gene	90 (0.81–0.95)	77 (0.70–0.84)	72 (0.63–0.79)	92 (0.86–0.96)
MAPK/GNAS mutations with TP53_SMAD4	85 (0 76–0 92)	96 (0 91-0 98)	93 (0 85-0 97)	91 (0 85-0 95)
and/or mTOR gene alterations	00 (0.70 0.02)	00 (0.01 0.00)	00 (0.00 0.07)	01 (0.00 0.00)
MAPK/GNAS mutations with TP53, SMAD4,	88 (0.79–0.93)	95 (0.89–0.98)	91 (0.83–0.96)	92 (0.87–0.96)
CTNNB1, and/or mTOR gene alterations	· · · · ·	· · · ·	, , , , , , , , , , , , , , , , , , ,	
MAPK/GNAS LOH or TP53, SMAD4, and/or	87 (0.78–0.92)	99 (0.95–1.00)	98 (0.91–1.00)	92 (0.86-0.96)
mTOR gene AFs = MAPK/GNAS AFs				
MAPK/GNAS LOH or TP53, SMAD4, CTNNB1,	89 (0.80–0.94)	98 (0.94–1.00)	97 (0.90–0.99)	93 (0.88–0.96)
and/or mTOR gene AFs = MAPK/GNAS AFs		70 (0.04, 0.70)	40 (0.05 0.50)	04/050.05
Associated clinical symptoms $(n = 244)^{a}$	38 (0.28–0.48)	72 (0.64–0.79)	46 (0.35-0.58)	64 (0.56-0.71)
Jaunaice (n = $131$ ) <sup>2</sup>	31 (0.20-0.44)	89 (0.78-0.95)	70 (0.50-0.86)	60 (0.50-0.69)
Index cyst size $>3.0$ cm (n = 242) <sup>a</sup>	59 (0.48–0.68)	57 (0.48–0.65)	46 (0.37–0.56)	68 (0.59–0.76)
Main pancreatic duct dilatation (n = $244$ ) <sup>a</sup>	68 (0.58–0.77)	65 (0.57 – 0.73)	56 (0.46–0.65)	76 (0.68–0.83)
Presence of a mural nodule $(n = 245)^a$	45 (0.35–0.56)	81 (0.74–0.87)	61 (0.48–0.72)	70 (0.63–0.77)
Increasing index cyst size $(n = 125)^a$	52 (0.37–0.67)	56 (0.44–0.67)	39 (0.27–0.53)	68 (0.55–0.79)
Malignant cytopathology <sup>c</sup>	46 (0.36–0.56)	97 (0.92–0.99)	90 (0.77–0.96)	74 (0.67–0.80)
MAPK denes include KRAS RRAF and NRAS	while mTOR gene	s include PIK3CA D	TEN and AKT1	
n designates the number of patients with data	available for analys	is.		
Jaundice was evaluated for patients with a cvs	at in the pancreatic	head, uncinate and/o	or neck.	
Malignant cytopathology was defined as at lea	st suspicious for ac	lenocarcinoma.		

neoplasia was further improved with the inclusion of *CTNNB1* mutations and yielded a sensitivity, specificity, PPV, and NPV of 89%, 98%, 97%, and 93%, respectively. 

cytopathologic evaluation achieved a 93% sensitivity, a 95% specificity, a 92% PPV, and a 95% NPV for advanced neoplasia.

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More importantly, the incorporation of PancreaSeq 1321 testing to current IPMN-specific guidelines, such as those by 1322 the AGA guidelines and the IAP/Fukuoka guidelines, 1323 increased the sensitivities of detecting advanced neoplasia 1324 from 72% to 96% and 86% to 98%, respectively, whereas 1325 the specificities of both guidelines remained essentially the 1326 same. Considering the challenges of classifying pancreatic 1327 cysts within the preoperative setting, a separate analysis of 1328 mucinous cysts (IPMNs and MCNs) with advanced neoplasia 1329 also revealed an improvement in the sensitivities of the AGA 1330 guidelines (72% to 96%) and the IAP/Fukuoka guidelines 1331 (84% to 98%) when applying PancreaSeq testing data, 1332 while the specificities of both guidelines once again 1333 remained essentially the same. The advantage of PancreaSeq 1334 testing is its high specificity for advanced neoplasia. In 1335 contrast, the AGA guidelines and the IAP/Fukuoka Guide-1336 lines exhibit low-to-moderate specificity, but moderate-to-1337 high sensitivity. The low-to-moderate specificity of both 1338 guidelines is not surprising, as they rely on subjective and 1339 indirect features of advanced neoplasia, such as large (>3.0 1340 cm) pancreatic cyst size, main pancreatic duct dilatation, 1341 and the presence of a mural nodule on EUS. As reported in 1342 the AGA technical review, cyst size of >3.0 cm has a pooled 1343 sensitivity of 74% for malignancy, but a poor pooled spec-1344 ificity of 49%.8 Main pancreatic duct dilatation and the 1345 presence of a mural nodule have pooled specificities of 80% 1346 and 91%, respectively, but poor pooled sensitivities of 32% 1347 and 48%, respectively.<sup>16</sup> The sensitivity and specificity of a 1348

mural nodule can be highly variable and largely attributable to the challenges in differentiating a mural nodule from adherent mucus within the pancreatic cyst by EUS.<sup>26</sup> The issues with EUS are compounded when factoring interobserver variability and operator dependence.<sup>27</sup> However, the utility of EUS is enhanced when coupled with FNA and cytopathologic evaluation of pancreatic cyst fluid. Cytopathologic evaluation for advanced neoplasia closely approaches 100% specificity, but it is often hampered by the low cellular content of pancreatic cyst fluid.<sup>28</sup> Nevertheless, in the absence of overt malignancy, differentiating highgrade from low-grade dysplasia can be problematic. In addition, distinguishing neoplastic cells from gastrointestinal tract contamination is often problematic, but imperative to establishing a diagnosis. Thus, the reported sensitivity of cytopathology for malignancy can vary widely from 25% to 88%.<sup>8,10,11,19,29,30</sup>

Although this study confirms the diagnostic utility of DNA-based targeted NGS, it also expands the compendium of MAPK alterations among pancreatic cysts. For instance, BRAF alterations were found in 5% of all pancreatic cysts and only 8% of BRAF-mutant cysts had co-occurring KRAS mutations. Most BRAF alterations were categorized as class II and class III and included in-frame deletions of codon 486. Previous studies have found class II and class III BRAF alterations, especially in-frame deletions, are often mutually exclusive of KRAS mutations and activate the MAPK signaling pathway.<sup>31,32</sup> Based on diagnostic surgical

Parameter	(95% CI)	(95% CI)	(95% CI)	(95% CI)
Serous cvstadenoma/neoplasm <sup>a</sup>				
VHL alteration in the absence of other alterations	71 (0.42–0.90)	100 (0.97–1.00)	100 (0.66–1.00)	98 (0.95-1.00)
VHL alteration w/ or w/o point mutations in TP53 and TERT promoter	100 (0.73–1.00)	100 (0.97–1.00)	100 (0.73–1.00	100 (0.97–1.00)
PanNET <sup>b</sup>				
<i>MEN1</i> alteration in the absence of other alterations	27 (0.14–0.45)	100 (0.98–1.00)	100 (0.63–1.00)	90 (0.85-0.93)
LOH <sup>c</sup> in the absence of other alterations	59 (0.41–0.75)	98 (0.95–0.99)	83 (0.62–0.95)	94 (0.89-0.96)
MEN1 alteration w/ or w/o LOH <sup>c</sup> in the absence of other alterations	68 (0.49–0.82)	98 (0.95–0.99)	85 (0.65–0.95)	95 (0.91–0.97)
Cytopathology positive for neuroendocrine tumor	85 (0.68–0.95)	100 (0.97–1.00)	97 (0.81–1.00)	98 (0.94-0.99)
MEN1 alteration w/ or w/o LOH <sup>c</sup> and cytopathology	97 (0.83–1.00)	98 (0.95–0.99)	89 (0.74–0.97)	100 (0.97–1.00)
Netastatic PanNET <sup>d</sup>				
LOH of at least 1 gene <sup>e</sup>	92 (0.60-1.00)	49 (0.37–0.61)	23 (0.13–0.38)	97 (0.84-1.00)
LOH of at least 2 genes <sup>e</sup>	92 (0.60-1.00)	68 (0.56-0.78)	32 (0.18-0.51)	98 (0.88-1.00)
LOH of at least 3 genes <sup>e</sup>	83 (0.51–0.97)	76 (0.65–0.85)	37 (0.20–0.57)	97 (0.87-0.99)
LOH of at least 4 genes <sup>e</sup>	58 (0.29–0.84)	88 (0.77–0.94)	44 (0.21–0.70)	93 (0.83-0.97)
LOH of at least 5 genes <sup>e</sup>	33 (0.11–0.64)	93 (0.84–0.97)	44 (0.15–0.77)	89 (0.80-0.95)
Preoperative tumor size >2.0 cm	92 (0.60–1.00)	50 (0.38–0.62)	23 (0.13–0.38)	97 (0.84-1.00)
Preoperative cytopathology WHO grades 2 and 3	75 (0.43–0.93)	74 (0.62–0.83)	32 (0.17–0.52)	95 (0.84–0.99)

Table 2. Diagnostic Performance of PancreaSeg Testing and Other Diagnostic Modalities for Serous Neoplasms and PanNETs

1376 <sup>b</sup>Based on 246 diagnostically confirmed pancreatic cysts that includes 34 cystic PanNETs.

<sup>c</sup>LOH of TP53, SMAD4, PTEN, and/or RNF43. 1377

<sup>d</sup>Based on 87 preoperative specimens (34 cystic PanNETs and 53 solid PanNETs) with patient follow-up. 1378

<sup>e</sup>LOH of VHL, TP53, SMAD4, PTEN, and/or RNF43. 1379

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pathology, BRAF alterations detected within this study 1441 correlated with the presence of an IPMN. Comparative RNA 1442 sequencing revealed BRAF-mutant IPMNs had similar gene 1443 expression profiles as KRAS-mutant IPMNs. In addition, 1444 through expanded targeted DNA/RNA-based NGS testing of 1445 MAPK-negative IPMNs, the spectrum of BRAF alterations 1446 was expanded to include fusion genes. The relationship 1447 between BRAF alterations and IPMNs is also interesting. For 1448 the entire prospectively collected pancreatic cyst cohort, 1449 77% of BRAF-mutant pancreatic cysts harbored GNAS mu-1450 tations, which are known to be specific for IPMNs. Although 1451 diagnostic surgical pathology was unavailable, Ren et al<sup>33</sup> 1452 reported the association between BRAF and GNAS muta-1453 tions for 6 pancreatic cysts that were clinically consistent 1454 with IPMNs. Hence, BRAF alterations are likely to substitute 1455 for KRAS mutations as a driver of the MAPK pathway in the 1456 pathogenesis of IPMNs. 1457

An unexpected finding from this study was the identifi-1458 cation of pancreatic cysts harboring VHL alterations and 1459 either TP53 or TERT promoter mutations. Consistent with 1460 prior studies, alterations in VHL alone were specific to se-1461 rous cystic neoplasms.<sup>12,13,18</sup> In addition, the combination of 1462 VHL alterations and mutations in TP53 or the TERT pro-1463 moter correlated with an SCA. However, based on surveil-1464 lance imaging, SCAs with these additional alterations 1465 demonstrated interval growth in size. In fact, the growth of 1466 one VHL/TP53-mutant SCA resulted in progressive stric-1467 turing of the main pancreatic duct, and, consequently, the 1468 patient developed acute and chronic pancreatitis. Although 1469 SCAs are benign and the overwhelming majority are 1470 asymptomatic, and slow growing, a subset can demonstrate 1471 increased growth and associated symptomatology.<sup>34</sup> Tseng 1472 et al<sup>35</sup> reported that patients with SCAs demonstrating a 1473 high growth rate (1.98 cm/y) and presented with abdominal 1474 pain, fullness and/or jaundice. Similarly, El-Hayek et al<sup>36</sup> 1475 found symptomatic patients often exhibited rapid growth 1476 of their SCA. In both studies, correlative molecular testing 1477 was not performed and, therefore, it is intriguing to surmise 1478 that clinically significant growth of an SCA and, conse-1479 quently, symptomatology due to an SCA, may be associated 1480 with the development of a mutation in TP53 or the TERT 1481 promoter. 1482

Finally, MEN1 alterations were highly specific for cystic 1483 PanNETs, but the sensitivity was only 27%. The sensitivity 1484 for cystic PanNETs improved to 68% on inclusion of LOH at 1485 the TP53, SMAD4, PTEN, and/or RNF43 genomic loci. In 1486 comparison, cytopathologic evaluation achieved a sensitivity 1487 and specificity of 85% and 100%, respectively. However, the 1488 combination of cytopathologic evaluation and PancreaSeq 1489 testing yielded a 97% sensitivity and a 98% specificity for a 1490 cystic PanNET. To date, available sequencing data for cystic 1491 PanNETs are limited, but solid PanNETs are reported to 1492 harbor recurrent LOH at multiple genomic loci with a 1493 prevalence greater than *MEN1* alterations.<sup>37–39</sup> As described 1494 herein, LOH was similarly present in cystic PanNETs and 1495 more frequently seen than alterations in *MEN1*. Moreover, 1496 within a combined cohort of solid and cystic PanNETs, LOH 1497 for at least 1 gene was associated with several adverse 1498 prognostic features. Both Pea et al<sup>38</sup> and Lawrence et al<sup>40</sup> 1499

published related findings with LOH of multiple genomic loci correlating with an increased risk of distant metastasis. LOH of  $\geq$ 3 genes within the PanNET study cohort had a sensitivity and specificity of 83% and 76%, respectively, for metastatic spread.

Analogous to mucinous cysts of the pancreas, both solid and cystic PanNETs are increasing in prevalence and often incidentally identified by radiographic imaging. While many patients with PanNET develop rapid and widely metastatic disease, other patients may present with indolent and slowgrowing disease.<sup>41,42</sup> In fact, the overtreatment of PanNETs has been a subject of debate and an observational approach may be warranted for a subset of patients.<sup>43–46</sup> Despite the development of PanNET prognostic classification systems, such as WHO histologic grading, and tumor staging systems, such as those based on tumor size of >2.0 cm, these parameters do not necessarily reflect the pathobiology of these tumors.<sup>47,48</sup> LOH of at least 3 genes was associated with a higher specificity (76%) for distant metastasis than >2.0 cm tumor size (50%) and advanced WHO grade (grades 2 and 3, 74%). Moreover, LOH was superior in sensitivity (83%) than advanced WHO grade (75%). Interestingly, LOH was also associated with loss of expression of ATRX/DAXX and the presence of ALT. Although the exact mechanism has not been fully elucidated, ATRX and DAXX play an integral role in telomere maintenance, and loss of protein expression coincides with the presence of ALT, a telomeraseindependent telomere maintenance mechanism.49,50 Interestingly, ALT results in broad chromosomal abnormalities, and, therefore, it is plausible that the LOH found at multiple genomic loci in PanNETs is the sequelae of ALT and may reflect a common genomic pathway in the metastatic progression of PanNETs.

We acknowledge that there are several limitations to this study. Although a large number of pancreatic cysts were analyzed, diagnostic surgical pathology was available for only 14% of patients and represents a surgical selection bias. However, clinical follow-up was also obtained for an additional 52% of patients. Our study also suffers from a testing selection bias, as pancreatic cyst fluid specimens satisfactory for targeted NGS were used for analysis. Considering a 2% failure rate of PancreaSeq testing, the effect of this selection bias is likely to be minimal. Nonetheless, molecularly discordant results were identified when comparing preoperative and postoperative specimens. For instance, MAPK/GNAS alterations were not detected in 9 surgically resected IPMNs, but present within the corresponding surgical specimen, which underscores a potential issue of sensitivity for PancreaSeq testing. Alternative explanations for this discordance are the absence of exfoliated neoplastic cells within the pancreatic cyst fluid, degraded mutant DNA within the cyst, and adequate sampling of the pancreatic cyst by the gastroenterologist. In addition, the follow-up period of this study is relatively short to assess the clinical impact of detecting specific genomic alterations, such as TP53, SMAD4, CTNNB1, and the mTOR genes. Although we plan to continue monitoring patients with these genomic alterations, the median duration of follow-up was 23 months or close to 2 years, which by many

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pancreatic cyst guidelines is sufficient as the initial time 1561 interval for imaging surveillance.<sup>6,7,9,21</sup> Another limitation is 1562 the relative paucity of certain genomic alterations to 1563 determine their true clinical significance. For example, the 1564 inclusion of CTNNB1 to the assessment of MAPK/GNAS-1565 mutant pancreatic cysts improved the identification of 1566 advanced neoplasia, but this was based on only 4 diagnos-1567 tically confirmed IPMNs harboring CTNNB1 alterations. 1568 Moreover, despite PancreaSeq consisting of 22 pancreatic 1569 cyst-related genes, it did not include other potentially 1570 important genes, such as CDKN2A. Several studies have re-1571 ported recurrent genomic alterations in CDKN2A in a subset 1572 of mucinous cysts and preferentially those with advanced 1573 neoplasia.<sup>12</sup> Similarly, we found *CDKN2A* alterations were 1574 detected in only IPMNs and those IPMNs with advanced 1575 neoplasia at a prevalence of 24%. In addition, 2 IPMNs with 1576 advanced neoplasia that were negative for alterations in 1577 TP53, SMAD4, CTNNB1, and the mTOR genes harbored 1578 CDKN2A alterations. Hence, further studies are required to 1579 determine the clinical significance of CDKN2A alterations 1580 among pancreatic cysts. Moreover, as the identification of 1581 BRAF alterations to include fusion genes highlights, the full 1582 breadth of genomic alterations that characterize pancreatic 1583 cysts has yet to be determined. A complicated issue with 1584 this study is the incorporation of allele frequencies to 1585 improve the performance of PancreaSeg testing. As we re-1586 ported previously, low-level genomic alterations in TP53 1587 and PIK3CA with respect to MAPK/GNAS mutations can be 1588 seen in the setting of IPMNs with low-grade dysplasia and it 1589 is plausible that these IPMNs are at an increased risk of 1590 progression to advanced neoplasia. Admittingly, the current 1591 study does not address the malignant potential of this pa-1592 tient population but highlights the increasing complexity of 1593 genomic alterations that characterize pancreatic cystic 1594 neoplasms. To simplify reporting of key alterations to 1595 include allele frequencies, our group is in the process of 1596 developing a pancreatic cyst molecular classifier to aid in 1597 the interpretation of genomic variants and provide surveil-1598 lance/treatment guidance to both gastroenterologists and 1599 1600<sup>Q7</sup> surgeons (Nikiforova and Singhi, unpublished results). Last, this study does not address the optimal approach of inte-1601 grating targeted NGS testing to current pancreatic cyst 1602 surveillance protocols. As an example, the European 1603 evidence-based guidelines could not be applied to this study 1604 cohort due to the lack of sufficient data to determine 1605 "relative indications" for surgical management. None of the 1606 guidelines, however, have sufficient accuracy to dictate 1607 appropriate surveillance and management of pancreatic 1608 cvsts, are admittingly based on "very low quality of evi-1609 dence," and, not surprisingly, the institutions participating 1610 within this study followed different pancreatic cyst guide-1611 lines and, in many cases, utilized a personalized approach 1612 for their patients.<sup>6,7,9,21,51-53</sup> A major step forward in 1613 delineating an optimal pancreatic cyst protocol is the ECOG-1614 ACRIN pancreatic cyst surveillance clinical trial of >4000 1615 patients that will compare the effectiveness between the 1616 AGA guidelines and the IAP/Fukuoka guidelines.<sup>54</sup> As a 1617 secondary aim of this study, biospecimens will be collected 1618

from enrolled patients to assess the utility of promising pancreatic cyst biomarkers.

In summary, we report the results of a large, multiinstitutional, prospective, and real-time study that clinically applies targeted NGS testing of EUS-FNA-obtained preoperative pancreatic cyst fluid to the evaluation of pancreatic cysts. Overall, our results underscore the clinical utility of targeted NGS given its high sensitivity and high specificity in the diagnosis of mucinous cysts and the identification of advanced neoplasia within a mucinous cyst. This study also broadens the number of genomic alterations that characterize not only mucinous cysts, but SCAs and cystic PanNETs. Although we recognize that additional studies are required, the data reported herein combined with previous studies support the integration of targeted NGS into the establishment of evidence-based pancreatic cyst guidelines.

## Supplementary Material

Note: To access the supplementary material accompanying this article, visit the online version of *Gastroenterology* at www.gastrojournal.org, and at https://doi.org/10.1053/j.gastro.2022.09.028.

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#### **Prospective NGS Testing of Pancreatic Cysts** 17

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### Supplementary Data

### Rationale and Design of the PancreaSeq Panel

2044 The PancreaSeq panel used herein was designed in part 2045 based on previously published next-generation sequencing 2046 testing results for the classification of various neoplastic 2047 pancreatic cysts, such as intraductal papillary mucinous 2048 neoplasms (IPMNs) and mucinous cystic neoplasms 2049 (MCNs), and the identification of pancreatic ductal adeno-2050 carcinomas (PDACs) reported to arise in association with 2051 mucinous cysts. For instance, mutations in KRAS, GNAS, and 2052 RNF43 were included because of their high sensitivity and 2053 high specificity for mucinous cysts of the pancreas.<sup>1-11</sup> In 2054 rare instances, alterations in NRAS, HRAS, BRAF, and STK11 2055 have also been reported to be clinically associated with 2056 mucinous cysts.<sup>2,5,12,13</sup> KRAS, HRAS, NRAS, and BRAF are 2057 genes collectively known to be involved in the mitogen-2058 activated protein kinase (MAPK) pathway. Further, the 2059 clinical utility of incorporating TP53, PIK3CA, PTEN, and 2060 AKT1 testing in the setting of KRAS and/or GNAS mutations 2061 for the detection of mucinous cysts with advanced neoplasia 2062 was previously published in a prospective testing cohort 2063 but this cohort comprised only a single institutional study.<sup>5</sup> 2064 It is also important to note that other than PIK3CA, PTEN, 2065 and AKT1, genomic alterations in the remaining mammalian 2066 target of rapamycin (mTOR) genes have rarely been impli-2067 cated in the molecular pathogenesis of PDAC arising from a 2068 mucinous cyst.<sup>14–18</sup> SMAD4 was included because of its high 2069 prevalence in both mucinous cysts with high-grade 2070 dysplasia and PDACs associated with a mucinous 2071 cyst.<sup>1,2,9,10,19</sup> Specific attention to mutant allele frequencies 2072 (AFs) was made considering previously reported results of 2073 low-level variants of TP53, SMAD4, and the mTOR genes 2074 with respect to MAPK/GNAS alterations corresponding to 2075 an absence of advanced neoplasia.<sup>5</sup> However, CDKN2A was 2076 specifically excluded due its reported detection in both low-2077 grade and high-grade mucinous cysts.<sup>20</sup> 2078

Molecular testing of pancreatic cyst fluid is not only 2079 accurate in the identification of mucinous cysts, but also the 2080 classification of other neoplastic cysts. Genomic alterations 2081 in VHL have been identified in serous cystadenomas 2082 (SCAs).<sup>1,2,5,7</sup> Similarly, recurrent mutations in exon 3 of 2083 CTNNB1 is highly specific for solid pseudopapillary neo-2084 plasms.<sup>21,22</sup> Interestingly, CTNNB1 mutations have also 2085 been reported in mucinous cysts.<sup>20</sup> Mutations in *MEN1* and 2086 the mTOR genes have been detected in pancreatic neuro-2087 endocrine tumors (PanNETs), but in the absence of KRAS 2088 and GNAS mutations.<sup>23–25</sup> Finally, the absence of genomic 2089 alterations in the aforementioned genes is predicted to 2090 represent a non-neoplastic cyst with the consideration that 2091 false negative results may occur due to insufficient sam-2092 pling of a neoplastic lesion or potentially an undescribed 2093 genomic alteration associated with a subset of pancreatic 2094 cystic neoplasms (eg, intraductal oncocytic papillary 2095 neoplasm).<sup>26</sup> Expected results based on previously pub-2096 lished data are summarized in Supplementary Table 1. 2097

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The study cohort consisted of 97 endoscopic ultrasound-fine needle aspiration (EUS-FNA) obtained pancreatic cyst fluid specimens that were collected as previously published and had corresponding follow-up diagnostic surgical pathology (Supplementary Table 2). The patients ranged in age from 22 to 83 years (mean, 62.5 years; median, 63.0 years) with a slight male majority of 52%. Based on the patient's electronic medical record, associated clinical symptoms were documented for 47 (49%) patients with jaundice identified for 6 of 42 (14%) patients with a pancreatic cyst involving the head, uncinate, and/or neck. Per EUS reports, most pancreatic cysts within this cohort were seen in the body and/or tail (n = 55, 57%). Further, the pancreatic cysts ranged in size between 1.3 and 9.4 cm (mean, 3.8 cm; median, 3.2 cm) and 53 (55%) patients had a cyst >3.0 cm. Additional imaging findings included the presence of multiple cysts (n = 46, 47%), associated ductal dilation (n = 26, 27%), and a mural nodule (n = 16, 17%). On FNA, increased fluid viscosity was noted for 48 (50%) patients and an elevated CEA for 41 (42%) patients. A cytopathologic diagnosis of at least suspicious for adenocarcinoma was identified in 7 (7%) cases.

On the basis of diagnostic surgical pathology, the retrospective cohort was composed of 13 IPMN-associated adenocarcinoma, 7 IPMNs with high-grade dysplasia, 2 MCNs with high-grade dysplasia, 34 IPMNs with low-grade dysplasia, 7 MCNs with low-grade dysplasia, 9 cystic Pan-NETs, 2 SCAs, 16 pseudocysts, 2 lymphoepithelial cysts, 2 retention cysts, 1 acinar cell cystadenoma, 1 epidermoid cyst within an intrapancreatic spleen, and 1 squamous cyst of the pancreas. The sensitivity and specificity of MAPK/ GNAS alterations for a mucinous cyst was 89% and 100%, respectively. In comparison, increased fluid viscosity and an elevated CEA had lower sensitivities (68% and 56%, respectively) and lower specificities (85% and 82%, respectively). In conjunction with MAPK/GNAS mutations, alterations in TP53, SMAD4, and/or the mTOR genes had 86% sensitivity and 96% specificity for a mucinous cyst with advanced neoplasia. The sensitivities and specificities of individual genomic combinations for advanced neoplasia were as follows: MAPK/GNAS and TP53 alterations were associated with 64% sensitivity and 99% specificity; MAPK/GNAS and SMAD4 alterations were associated with 46% sensitivity and 100% specificity; and MAPK/GNAS and mTOR alterations were associated with 32% sensitivity and 96% specificity. Of note, the combination of MAPK/GNAS with TP53 and/or SMAD4 yielded a sensitivity of 77% and a specificity of 99%. However, on exclusion of low-level TP53 and PIK3CA mutations, the sensitivity and specificity of the MAPK/GNAS and TP53, SMAD4, and/or mTOR gene combination of genomic alterations was 86% and 100%, respectively. The sensitivities and specificities for advanced neoplasia were lower for the presence of associated clinical symptoms (55% and 53%), jaundice for pancreatic head cysts (20% and 89%), cyst size of >3.0 cm (59% and 47%), main pancreatic duct dilatation (45% and 79%), a mural

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## Prospective PancreaSeq Testing Cohort

nodule (27% and 87%), and a cytopathologic diagnosis of at

least suspicious for adenocarcinoma (27% and 99%).

In total, 1993 EUS-FNA-obtained pancreatic cyst fluid 2165 specimens from 1889 patients were prospectively analyzed 2166 for genomic alterations over a 2-year time frame. Among 2167 these cases, 1887 (98%) specimens from 1832 patients 2168 2169 were satisfactory for PancreaSeq testing (Supplementary Table 3). The DNA concentration from these specimens 2170 ranged between 0.01 and 283 ng/ $\mu$ L (mean, 6.84 ng/ $\mu$ L; 2171 median, 4.4 ng/ $\mu$ L). This patient cohort was predominantly 2172 female (n = 1048, 56%) and ranged in age from 12 to 80 2173 years (mean, 66.3 years; median, 69.0 years). Associated 2174 clinical and imaging data were available for most patients 2175 with documentation of associated clinical symptoms (n =2176 1227, 67%), jaundice for pancreatic head/uncinate/neck 2177 cysts (n = 635, 34%), pancreatic cyst location (n = 1225, 2178 65%), pancreatic cyst size (n = 1167, 62%), changes in cyst 2179 2180 size (n = 434, 23%), the presence of multiple cysts (n =1167, 62%), main duct dilatation (n = 1166, 62%), and a 2181 mural nodule (n = 1174, 62%). Further, on FNA, increased 2182 2183 fluid viscosity by string sign assessment (n = 1119, 59%), pancreatic cyst fluid CEA (n = 712, 38%), and cytopatho-2184 logic evaluation (n = 642, 34%). Genomic alterations in 2185 KRAS, GNAS, BRAF, VHL, TP53, SMAD4, CTNNB1, and the 2186 2187 mTOR genes and their clinicopathologic correlative findings are summarized in Supplementary Tables 5, 6, and 7. 2188

## PancreaSeq Testing of PanNETs

2191 With respect to PancreaSeq testing, a clinicopathologic 2192 analysis of cystic (n = 34, 39%) and solid (n = 53, 61%)2193 PanNETs was performed for 87 preoperative specimens 2194 (Supplementary Table 8). This study cohort consisted of an 2195 equivalent number of female-to-male patients who ranged 2196 in age between 25 and 85 years (mean, 61.2 years; median, 2197 65.0 years). PanNETs were predominantly located within 2198 the body and/or tail of the pancreas (n = 53, 61%) and 2199 ranged in size from 1.0 to 9.3 cm (mean, 2.7 cm; median, 2.2 2200 cm). Most PanNETs were >2.0 cm in greatest dimension 2201 (n = 49, 56%). Available surgical pathologic data and 2202 follow-up included WHO grade (based on Ki-67 and mitotic 2203 index) (n = 84), lymphovascular invasion (n = 82), peri-2204 neural invasion (n = 82), clinical/pathologic (c/p) T-stage 2205 (n = 82), N-stage (n = 82), ATRX/DAXX immunohisto-2206 chemical expression (n = 84), telomere-specific fluores-2207 cence in situ hybridization data to assess for alternative 2208 lengthening of telomeres (ALT) (n = 84), and distant 2209 metastasis (n = 84). 2210

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Comparative Whole Transcriptome (RNA)
Sequencing of BRAF-Mutant and KRAS-Mutant
IPMNs With Low-Grade Dysplasia

2215 Whole transcriptome (RNA) sequencing and differential 2216 gene expression analysis was performed for 18 *GNAS*-2217 mutant, diagnostically confirmed IPMNs with low-grade 2218 dysplasia and co-occurring mutations in either *BRAF* (n = 9) or *KRAS* (n = 9). For each cohort, cases consisted of 3 preoperative EUS-FNA specimens and 6 surgical resection specimens obtained from the prospective PancreaSeq testing cohort (Supplementary Figure 4). Although a comparison of *BRAF*-mutant and *KRAS*-mutant IPMNs identified a trend in the differential expression of TERT and SCARNA1, no statistically significant difference was identified. Overall, *BRAF*-mutant and *KRAS*-mutant IPMNs with low-grade dysplasia that also harbored a *GNAS* mutation demonstrated similar gene expression profiles.

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Supplementary Figure 2. SCAs were not only characterized by VHL alterations, but also TP53 and TERT promoter mutations. (A) A 3.8-cm SCA (white arrowhead) of the pancreatic body that was surgically resected due to secondary obstruction of the main pancreatic duct (yellow arrowhead). Preoperative PancreaSeq testing revealed VHL and TP53 alterations. (B and C) Microscopically, the SCA consisted of a multilocular cyst that was lined by glycogen-laden epithelium. (D) An 8.0-cm SCA (white arrowhead) of the pancreatic head was resected due to main pancreatic ductal obstruction (yellow arrowhead) resulting in the patient presenting with chronic pancreatitic symptoms. Preoperative PancreaSeq testing detected VHL and TERT promoter mutations. (E and F) The corresponding diagnostic surgical pathology showed a microcystic growth pattern and multiple foci of pseudopapillae of glycogen-laden epithelium.



Supplementary Figure 3. Algorithmic approach to key genomic alterations detected by PancreaSeq testing and their clinical significance. \*Refers to high-risk genes that include genomic alterations in TP53, SMAD4, CTNNB1, and the mTOR genes, and \*\*refers to LOH of  $\geq$ 3 genes.



cytopathology. While preoperative PancreaSeq testing identified GNAS and TP53 mutations of similar AFs, no KRAS or BRAF
 mutations were seen. (H and I) The corresponding surgical pathology was consistent with an IPMN-associated PDAC (black
 *arrowhead*). In addition, postoperative Oncomine testing showed the presence of an SND1-BRAF fusion gene. (J) A total of 4
 IPMNs were found to harbor BRAF fusion genes and consisted of AGK (exon 2)-BRAF (exon 8) (n = 1), SND1 (exon 9)-BRAF
 (exon 9) (n = 2), and TRIM24 (exon 3)-BRAF (exon 10) (n = 1).

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2761	- IPMN with LGD (Cyst Fluid)	2821
2762	- IPMN with LGD (Cyst Fluid)	2822
2763	- IPMIN With LG0 (FFPE) KRAS wt / BRAF mut /	2823
2764	- IPMN with LGD (FFPE)	2824
2765	- IPMN with LGD (FFPE)	2825
2766	- IPMN with LGD (FFPE)	2826
2767	- IPMN with LGD (Cyst Fluid)	2827
2768	– IPMN with LGD (Cyst Fluid) – IPMN with LGD (FFPE)	2828
2769	- IPMN with LGD (FFPE) KRAS mut / BRAF wt / GNAS mut	2829
2770	O - IPMIN with LG0 (FFPE)	2830
2771	L - IPMN with LGD (FFPE)	2831
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2774	Supplementary Figure 5. Differential gene expression analysis was performed for 18 GNAS-mutant IPMNs with low-grade	2034
2113	dysplasia and co-occurring mutations in either BRAF (n = 9) or KRAS (n = 9). A trend toward increased expression of	2833
2776	TERT and SCARNA1 was identified in BRAF-mutant IPMNs as compared with KRAS-mutant IPMNs. However, these findings	2836
2777	were not statistically significant. Overall, BRAF-mutant and KRAS-mutant IPMNs with low-grade dysplasia and GNAS mu-	2837
2778	tations demonstrated similar gene expression profiles.	2838
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