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ORIGINAL ARTICLE

# Digital next-generation sequencing identifies low-abundance mutations in pancreatic juice samples collected from the duodenum of patients with pancreatic cancer and intraductal papillary mucinous neoplasms

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## ABSTRACT

**Objective** Secretin-stimulated pancreatic juice contains DNA shed from cells lining the pancreatic ducts. Genetic analysis of this fluid may form a test to detect pancreatic ductal neoplasia.

**Design** We employed digital next-generation sequencing ('digital NGS') to detect low-abundance mutations in secretin-stimulated juice samples collected from the duodenum of subjects enrolled in Cancer of the Pancreas Screening studies at Johns Hopkins Hospital. For each juice sample, digital NGS necessitated 96 NGS reactions sequencing nine genes. The study population included 115 subjects (53 discovery, 62 validation) (1) with pancreatic ductal adenocarcinoma (PDAC), (2) intraductal papillary mucinous neoplasm (IPMN), (3) controls with non-suspicious pancreata.

**Results** Cases with PDAC and IPMN were more likely to have mutant DNA detected in pancreatic juice than controls (both  $p < 0.0001$ ); mutant DNA concentrations were higher in patients with PDAC than IPMN ( $p = 0.003$ ) or controls ( $p < 0.001$ ). *TP53* and/or *SMAD4* mutations were commonly detected in juice samples from patients with PDAC and were not detected in controls ( $p < 0.0001$ ); mutant *TP53/SMAD4* concentrations could distinguish PDAC from IPMN cases with 32.4% sensitivity, 100% specificity (area under the curve, AUC 0.73,  $p = 0.0002$ ) and controls (AUC 0.82,  $p < 0.0001$ ). Two of four patients who developed pancreatic cancer despite close surveillance had *SMAD4/TP53* mutations from their cancer detected in juice samples collected over 1 year prior to their pancreatic cancer diagnosis when no suspicious pancreatic lesions were detected by imaging.

**Conclusions** The detection in pancreatic juice of mutations important for the progression of low-grade dysplasia to high-grade dysplasia and invasive pancreatic cancer may improve the management of patients undergoing pancreatic screening and surveillance.

## INTRODUCTION

Pancreatic cancer is expected to be the second leading cause of cancer-related deaths in the USA

## Significance of this study

### What is already known on this subject?

- Mutations arising from the pancreatic ductal system can be detected in secretin-stimulated pancreatic juice samples.
- The molecular progression of pancreatic ductal neoplasia involves the acquisition of mutations in multiple genes, found in low-grade pancreatic intraepithelial neoplasias and intraductal papillary mucinous neoplasms (IPMNs) (such as *KRAS* and *GNAS*) and others that emerge as these lesions progress to higher-grade precursors and to invasive pancreatic ductal adenocarcinoma (such as *TP53* and *SMAD4*).
- A test that could detect these mutations in pancreatic juice could have diagnostic utility.

### What are the new findings?

- We have developed a novel next-generation sequencing (NGS) method, termed digital NGS to detect low concentrations (0.1% to 1%) of mutations in nine genes in pancreatic juice.
- We find overall juice mutation concentrations, particularly mutations affecting *TP53* and *SMAD4* could distinguish juice samples from patients with pancreatic cancer from those with IPMNs and disease controls without pancreatic neoplasia.
- Two of four patients who developed pancreatic cancer while under surveillance had *SMAD4* or *TP53* mutations from their cancer detected in pancreatic juice samples collected within 18 months of their cancer detection when no suspicious pancreatic lesions were detected by imaging.

### How might it impact on clinical practice in the foreseeable future?

- These results highlight the potential of using pancreatic juice analysis to detect worrisome mutations that could help in the surveillance and risk stratification of patients undergoing pancreatic screening and surveillance.



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by 2030.<sup>1</sup> Most patients with pancreatic ductal adenocarcinoma (PDAC) present with advanced-stage cancers and have rapidly progressive disease.

Endoscopic ultrasonography (EUS) and/or MRI/MR cholangiopancreatography (MRCP) can accurately identify subcentimetre pancreatic cysts<sup>2</sup> and are used to screen individuals with a strong family history of pancreatic cancer to try to detect asymptomatic Stage I pancreatic cancers and significant precancerous lesions.<sup>2–10</sup> Most pancreatic cysts detected in patients undergoing screening are thought to be intraductal papillary mucinous neoplasms (IPMNs).<sup>2</sup> However, the most common precancerous lesions, pancreatic intraepithelial neoplasias (PanINs), are generally too small (<5 mm diameter by definition) to be identified by imaging modalities.<sup>11</sup> New tests are needed to identify clinically significant precursor lesions and early curable invasive cancers, and one potential approach is to analyse pancreatic juice. Analysis of secretin-stimulated pancreatic juice collected endoscopically from the duodenum of patients enrolled in the Cancer of the Pancreas Screening (CAPS) trials revealed that the detection of *GNAS* mutations closely correlated with having IPMNs, and the detection of *TP53* mutations in 67% of cases with PDAC and 50% of cases with advanced precursor lesions.<sup>2 4 12–14</sup> Similarly, *KRAS* mutations are commonly detected in juice samples from patients with pancreatic cancer and patients undergoing screening. *KRAS* mutations detected in the pancreatic juice of high-risk individuals without pancreatic imaging abnormalities are thought to arise from small PanIN lesions.<sup>15</sup> These studies used digital melt-curve analysis and pyrosequencing to detect mutations but next-generation sequencing (NGS) is being widely used in clinical laboratories to detect somatic mutations in cancer tissues.<sup>16 17</sup>

NGS is being evaluated as a test to detect low-abundance somatic mutations in secondary fluids such as plasma,<sup>18</sup> but the rate of sequencing errors generated by NGS assays poses challenges to the detection of low-abundance mutations. Sequence variants identified using NGS-based tests need to be present at sufficient concentrations (>1%) to be considered as true mutations rather than background sequencing errors.<sup>19</sup> Somatic mutation concentrations in duodenal collections of pancreatic juice are generally quite low (usually 0.1–1%) even among patients with PDAC<sup>15</sup> and thus their detection by NGS requires modifications to standard NGS protocols. Molecular strategies such as ‘SafeSeq’ have been employed in research settings to help distinguish true low-abundance somatic mutations from low-level errors related to NGS.<sup>20</sup> In principle, the ability of NGS to accurately detect low-abundance mutations could be improved by using ‘digital’ strategies, analogous to digital PCR. We developed a digital NGS method for this purpose by performing discrete NGS analyses on many (typically 96) individual aliquots of DNA from a single biological sample where each aliquot contains only a few genome equivalents of DNA. Each aliquot can then be expected to have either zero or one mutation-containing DNA template at each nucleotide of interest in addition to small numbers of wild type templates. True somatic mutations should be detectable in more than one aliquot.<sup>21</sup> In this study, we evaluated digital NGS as a strategy to detect low-abundance mutations and then applied the method to detect mutations in duodenal collections of pancreatic juice obtained from patients with and without pancreatic ductal neoplasia to evaluate the diagnostic accuracy of this test.

## MATERIALS AND METHODS

### Patients and specimens

Pancreatic juice samples for this study were obtained from participants enrolled in the CAPS studies (<http://clinicaltrials.gov>, NCT00438906, NCT00714701 and NCT02000089).<sup>2 4</sup> One hundred and fifteen prospectively enrolled subjects (53 discovery, 62 validation), were included to represent a variety of diagnostic possibilities (see online supplementary table S1). Patient groups included those diagnosed with (1) PDAC (n=34), including selected patients who developed pancreatic cancer while under surveillance (n=4), (2) IPMN (n=57), diagnosed by surgical pathology or imaging findings, including cases undergoing pancreatic screening, or (3) controls (n=24) with normal pancreata undergoing EUS for other indications, or chronic pancreatitis. Archived primary pancreatic cancer or IPMN tissue was sequenced from some patients to compare mutations detected in juice samples with those present in tumours.

Pancreatic juice secretion was stimulated by infusing human synthetic secretin (ChiRhoClin)(0.2 µg/kg intravenously over a minute). Juice was collected from the duodenal lumen for ~5 min (typically, 5–10 mL).<sup>13</sup> In addition, several samples of pancreatic cyst fluid aspirated during EUS were sequenced.<sup>22</sup>

All elements of this study were approved by the Johns Hopkins institutional review board, and written informed consent was obtained from all patients.

### Digital NGS

All digital NGS assays were performed blinded to patient information. An Ion AmpliSeq Custom Panel was employed to multiplex PCR and sequence nine genes (122 amplicons in two primer pools, see online supplementary table S2) mutated in pancreatic ductal neoplasms (*KRAS*, *GNAS*, *TP53*, *SMAD4*, *CDKN2A*, *RNF43*, *TGFBR2*, *BRAF*, *PIK3CA*).<sup>23–26</sup> Ninety-six aliquots of DNA from each patient’s juice were made and each aliquot was subjected to NGS. A mutation score of one was given for each mutation-containing aliquot.

### Estimating digital NGS accuracy

Digital NGS was performed on wild type fibroblast DNA samples and three reference pools containing low mutation concentrations (20 pancreatic cancer cell lines mixed with fibroblast DNA) (see online supplementary tables S3 and S4).

### Digital-droplet PCR

Digital-droplet PCR (ddPCR) was performed blinded to digital NGS results to help evaluate the accuracy of digital NGS.

(See online supplementary materials for additional methods).

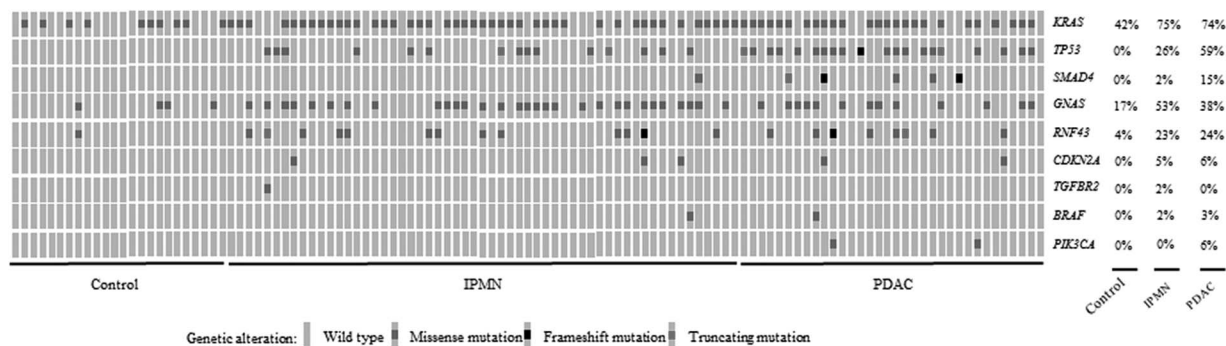
### Statistics

Median mutation scores between PDAC, IPMN and control groups were compared by the Mann-Whitney *U* and  $\chi^2$  tests. Receiver operating characteristic (ROC) curves were generated to evaluate candidate gene’s mutations and the area under the curve (AUC) was computed by the trapezoidal method. SPSS software and GraphPad Prism6 were used. A two-tailed *p*<0.05 was considered statistically significant.

## RESULTS

### Detection of low-abundance mutations in DNA reference pools by digital NGS

We found digital NGS could detect all 28 missense and nonsense mutations in pancreatic cancer DNA reference pools present at concentrations ranging from >0.1% to 1% relative to



**Figure 1** Prevalence of genes identified as mutated in pancreatic juice by digital next-generation sequencing. Some cases with pancreatic ductal adenocarcinoma (PDAC) also had intraductal papillary mucinous neoplasm (IPMN).

wild type DNA and 90% of mutations present at the 0.1% level relative to wild type DNA (see online supplementary table S4). To avoid calling NGS-related sequencing errors mutations, we required the detection of the same sequence variant in three independent digital NGS reactions, as a criterion for calling non-hot spot sequence variants identified by digital NGS as true mutations (in addition to the usual metrics for calling mutations). We also compared digital NGS to ddPCR for their ability to detect *KRAS* mutations in pancreatic juice and found almost complete concordance between the two methods (see also online supplementary materials).

#### Somatic mutations detected in pancreatic juice samples

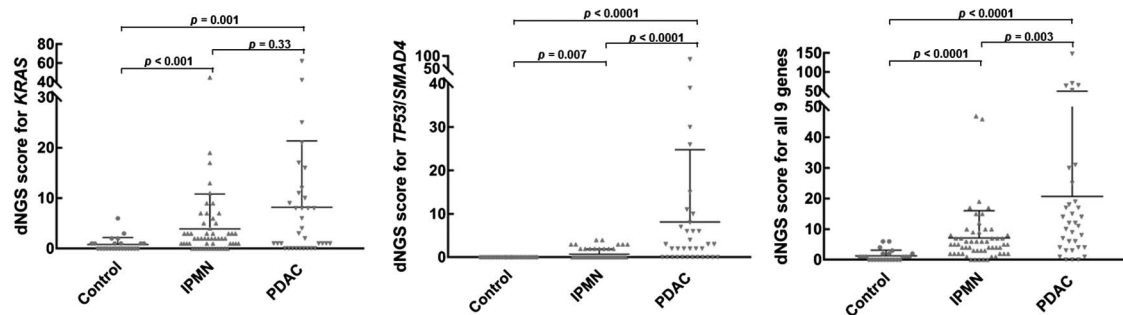
Using digital NGS, we compared the mutation profiles of patients diagnosed with PDAC versus IPMN versus controls first in a discovery set (Cases #1–53) and then in a validation set (Cases #54–115). The results of the combined set are summarised in figures 1–3 and see online supplementary table 5 table 1. Discovery and validation set results are presented in online supplementary figures S2–S4 and supplementary materials. Mutational analysis of primary pancreatic cancer or IPMN was also performed for cases with sufficient neoplastic tissue (see online supplementary tables S6 and S5). Most juice samples with mutations had digital NGS scores of <10 (range 1–87) (table 1).

In the combined set of 115 patients, 31 of 34 (91.2%) patients with PDAC and 51 of 56 (91.1%) diagnosed with an IPMN (without PDAC) had at least one mutation detected in their pancreatic juice sample, compared with 13 of 24 (54.2%) controls without evidence of pancreatic neoplasia ( $p=0.001$  and  $p<0.001$ ). *KRAS* mutations (with both digital NGS and ddPCR for the discovery set) were detected in juice samples of 10 of 24 controls (41.7%), 42 of 56 (75.0%) patients with IPMNs and 25 of 34 (73.5%) patients with PDAC. Several

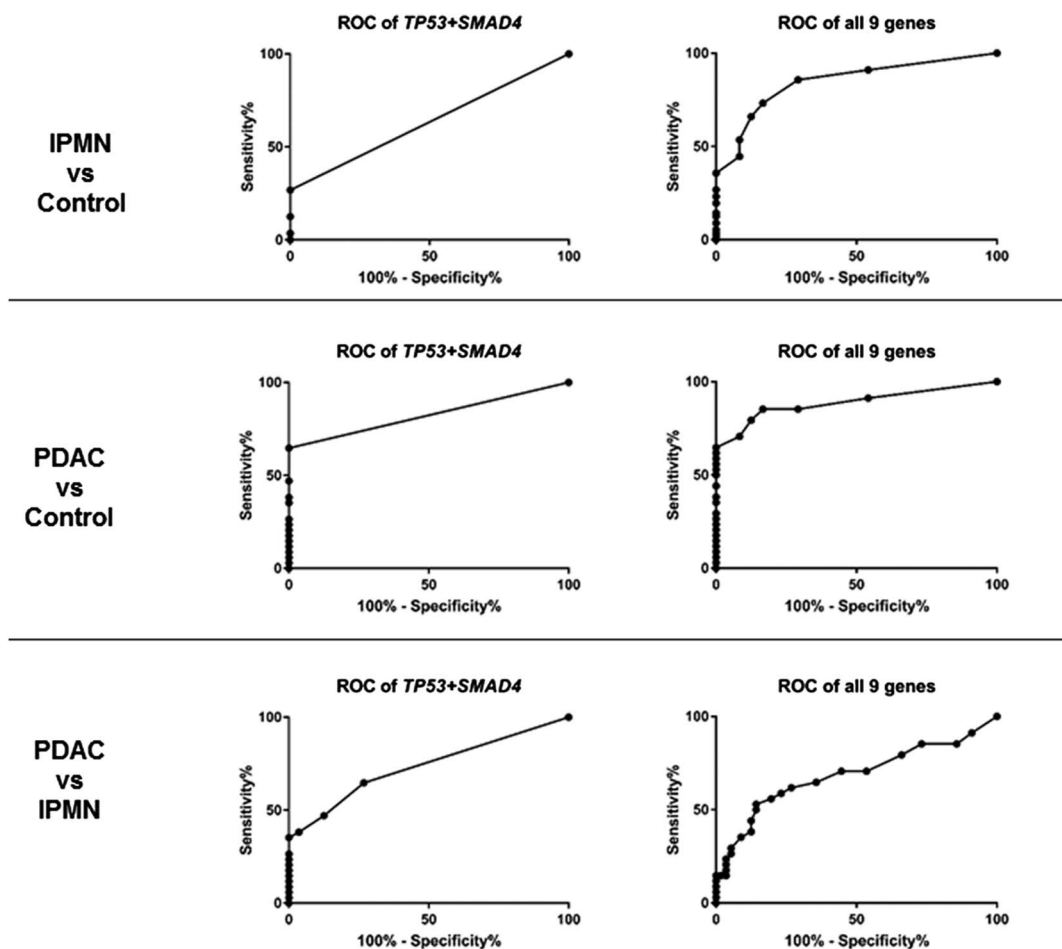
patients, particularly those with PDAC, had multiple *KRAS* mutations detected in their juice samples.<sup>15</sup>

Thirty-five cases had deleterious *TP53* mutations, including 20 with PDAC and 15 with IPMN. Deleterious *SMAD4* mutations were detected in seven patients; three had missense mutations. *SMAD4* missense mutations are often deleterious.<sup>27–28</sup> Six of these patients had pancreatic cancer. The one non-PDAC case (Case#21) with a deleterious *SMAD4* mutation underwent resection for high-risk findings;<sup>29</sup> a dilated (~1 cm) main pancreatic duct associated with a 6 cm IPMN with intermediate-grade dysplasia. None of the other 80 cases had a *SMAD4* mutation ( $p<0.001$ , vs PDAC). Mutations in *TP53* and/or *SMAD4*, the two most specific markers, were not detected in the juice samples of controls but were found in 22 of 34 (64.7%) cases with PDAC and 16 of 56 (30.4%) cases with IPMN ( $p<0.0001$ ,  $p=0.003$ , respectively, PDAC vs IPMN,  $p=0.0007$ ,  $\chi^2$ ).

Twelve other patients with IPMN underwent pancreatic resection including three cases with *TP53* mutations: these cases had intermediate-grade dysplasia in their IPMN and/or PanIN-2 in their resection specimen. Thirteen IPMN cases with low *TP53* mutations (digital NGS scores  $\leq 4$ ) are still under surveillance without evidence of progression  $\geq 1$  year after their juice sample was obtained. Case#20 underwent distal pancreatectomy for an IPMN. She had *GNAS* and *BRAF* mutations in addition to a *TP53* detected in her preoperative juice sample. She was diagnosed with metastatic PDAC 6 years later, despite having an unremarkable pancreas by surveillance CT including 6 months prior to presenting with metastatic disease. Overall, (including progressing cases described below) and in addition to the 3 *TP53*-mutation-positive cases that underwent resection with intermediate-grade dysplasia/PanIN-2, 4 of the 17 patients with IPMN with low *TP53* mutation concentrations in their pancreatic juice (digital NGS scores  $\leq 4$ ) that



**Figure 2** Pancreatic juice mutation concentrations (digital next-generation sequencing (dNGS) scores) by disease group. IPMN, intraductal papillary mucinous neoplasm; PDAC, pancreatic ductal adenocarcinoma.



**Figure 3** Receiver operating characteristic (ROC) curve analysis evaluating how pancreatic juice gene mutation scores distinguished disease groups. IPMN, intraductal papillary mucinous neoplasm; PDAC, pancreatic ductal adenocarcinoma.

continued surveillance progressed to pancreatic cancer during the study period.

Thirty-six (53%) of the 68 cases diagnosed as having IPMN (including 12 cases with PDAC that also had IPMN) had *GNAS* mutations detected in their pancreatic juice samples. Of the 21 cases that had *RNF43* mutations in their pancreatic juice, 13 also had a *GNAS* mutation, and 16 arose in patients diagnosed with IPMN, consistent with evidence that *RNF43* and especially *GNAS* are mutated more often in IPMNs than in usual PDACs.<sup>23</sup> Cases diagnosed with IPMN were more likely to have mutations detected in their pancreatic juice than controls (51 of 56, 91.1%) ( $p < 0.001$ ), and more likely than controls to have mutations other than *KRAS* and *GNAS* detected in their pancreatic juice samples (26 of 56 vs 1 of 24) ( $p = 0.0005$ ).

*CDKN2A*, *PIK3CA*, *TGFBR2* and *BRAF* mutations were detected in a minority of juice samples from patients with PDAC or IPMN consistent with the low prevalence of these mutations in primary pancreatic cancers.

Overall, pancreatic juice mutation concentrations were significantly higher in juice samples from patients with PDAC compared with controls ( $p < 0.0001$ ), as were concentrations of mutant *KRAS* alone ( $p = 0.001$ ) and concentrations of mutant *TP53* and/or *SMAD4* ( $p < 0.001$ ) (figure 2, table 2). By ROC curve analysis, overall digital NGS mutation scores could distinguish PDAC cases from controls and IPMN cases with AUCs of 0.89 ( $p < 0.0001$ ) and 0.69,  $p = 0.003$ , respectively (figure 3, table 3). Pancreatic juice concentrations of mutated *TP53* and/or

*SMAD4* were higher among cases diagnosed with PDAC than IPMN (Mann-Whitney,  $p < 0.0001$ ). By ROC curve analysis, digital NGS scores for mutant *TP53* and/or *SMAD4* could distinguish PDAC cases from IPMN cases without PDAC with 32.4% sensitivity and 100% specificity (AUC 0.73,  $p = 0.0002$ ), and from controls with an AUC of 0.82 ( $p < 0.001$ , 100% specificity, 64.7% sensitivity). Among PDAC cases with *TP53* and/or *SMAD4* mutations, 12 of 22 had digital NGS scores of  $\geq 5$  compared with 0 of 16 with IPMN ( $p = 0.001$ ). By ROC analysis, overall digital NGS scores could also distinguish IPMN cases from controls with an AUC of 0.85 ( $p < 0.0001$ ).

One case of interest (Case#24, table 1) with McCune-Albright syndrome (which is caused by postzygotic *GNAS* mutations) underwent EUS to evaluate further innumerable pancreatic cysts detected by CT scan. His pancreatic juice sample had a high mutant *GNAS* concentration (digital NGS score=29) and *RNF43*.

#### Detection of somatic mutations in pancreatic juice before a pancreatic cancer diagnosis

We also analysed serial pancreatic juice samples from four patients who had undergone pancreatic cancer screening and surveillance and later developed pancreatic cancer. Case #36 (tables 1 and 4) had undergone surveillance over 5 years and had pancreatic juice collected 61 months, 16 months and 4 months before being diagnosed with pancreatic cancer. For 2+ years prior to his PDAC diagnosis, four small stable-

**Table 1.** Somatic mutations identified in pancreatic juice by digital NGS\*

Case#	M/F	Age	Disease group	Risk**	KRAS		GNAS		RNF43		TP53		SMAD4	
					dNGS#	SNV	dNGS#	SNV	dNGS#	SNV	dNGS#	SNV	dNGS#	SNV
#01	F	27	Control	na	0		0		0		0		0	
#02	F	79	Control	na	1	p.G12D	0		0		0		0	
#03	F	77	Control	na	0		0		0		0		0	
#04	M	50	Control	na	1	p.G12D	0		0		0		0	
#05	F	78	Control	na	0		0		0		0		0	
#06	M	76	Control	na	0		0		0		0		0	
#07	F	49	Control	na	1,2,2	p.G12D/V, G13D	0		0		0		0	
#08	F	65	Control	na	0		3	p.R201C	3	p.Q402R	0		0	
#09	M	45	Control	na	1,2	p.G12D/V	0		0		0		0	
#10	F	68	Control	na	0		0		0		0		0	
#11	F	48	Control	na	0		0		0		0		0	
#12	F	43	Control	na	0		0		0		0		0	
#13	F	44	Control	na	0		0		0		0		0	
#54	M	46	Control	na	0		0		0		0		0	
#55	F	72	Control	na	2	p.Q61L	0		0		0		0	
#56	M	34	Control	na	1	p.Q61L	0		0		0		0	
#57	F	52	Control	na	1	p.G12S	1	p.R201H	0		0		0	
#58	M	66	Control	na	0		2,2	p.R201C/H	0		0		0	
#59	F	59	Control	na	1	p.G12S	0		0		0		0	
#60	M	59	Control	na	1,1	p.G12D/S	0		0		0		0	
#61	F	73	Control	na	0		0		0		0		0	
#62	M	48	Control	na	0		0		0		0		0	
#63	M	40	Control	na	0		1	p.R201C	0		0		0	
#64	F	32	Control	na	1	p.G12D	0		0		0		0	
#15	M	64	IPMNs	f	1	p.G12V	0		0		0		0	
#23	F	64	IPMNs	s	3,4	p.G12D/V	0		0		0		0	
#24	M	21	IPMNs	s	3	p.G13D	29	p.R201C	10,3	p.R343H, Y550C	0		0	
#25	M	67	IPMNs	s	0		0		0		0		0	
#26	M	51	IPMNs	f	0		4,1	p.R201C/H	4,3	p.P634S, Q402X	3	p.R196L	0	
#27	M	62	IPMNs	f	0		0		0		2	p.R248Q	0	
#28	M	70	IPMNs	s	1	p.G12D	4,1	p.R201C/H	0		4	p.K291R	0	
#29	F	75	IPMNs	s	1	p.G12V	2	p.Q227R	0		0		0	
#30	F	63	IPMNs	s	1,2,4	p.G12D/V/ R	0		3	p.S446F	0		0	
#65	F	58	IPMN	s	1,1,1	p.G12D/V, Q61L	1	p.Q227R	0		0		0	
#66	M	67	IPMN	s	9	p.G12C	0		0		0		0	
#67	M	70	IPMN	s	1,1	p.G12D/V	2	p.R201C	0		0		0	
#68	M	59	IPMN	s	1	p.G12V	0		3	p.S464G	0		0	
#69	F	65	IPMN	s	1,1	p.G12S, Q61R	1	p.R201C	3	p.S478P	0		0	
#70	M	62	IPMN	s	1	p.Q61R	0		0		3	p.M246V	0	
#71	F	84	IPMN	s	0		0		0		0		0	
#72	M	66	IPMN	s	1,2	p.G12V, Q61H	1	p.R201H	0		0		0	
#73	F	60	IPMN	s	1	p.Q61L	0		0		0		0	
#74	F	66	IPMN	s	1,1,1	p.G13D, Q61H/R	0		0		0		0	
#75	M	70	IPMN	s	0		0		0		0		0	
#76	M	41	IPMN	s	1,1	p.G12V, Q61R	0		0		2	p.R175H	0	
#77	F	55	IPMN	s	1	p.Q61R	0		0		0		0	
#78	F	74	IPMN	s	1,1,1	p.G12C, G13D, Q61R	0		3	p.A148V	3	p.R175H	0	
#79	M	76	IPMN	s	0		1	p.Q227R	5	p.A629T	0		0	

Continued

## Pancreas

Table 1. Continued

Case#	M/F	Age	Disease group	Risk**	KRAS		GNAS		RNF43		TP53		SMAD4	
					dNGS#	SNV	dNGS#	SNV	dNGS#	SNV	dNGS#	SNV	dNGS#	SNV
#80	F	64	IPMN	s	1,8	p.G12V, Q61H	8	p.R201C	0		0		0	
#81	F	44	IPMN	s	2,1,1,1,1	p.G12D//R, p.Q61H/R	1	p.R201H	0		0		0	
#82	F	77	IPMN	s	1	p.Q61H	1	p.R201H	0		0		0	
#83	F	67	IPMN	s	2,1,1,2,1	p.G12D//R/S, G13D	0		0		0		0	
#84	M	84	IPMN	s	1,1	p.G12C/S	1	p.R201H	3	p.G263E	0		0	
#85	M	49	IPMN	s	1,2	p.G12D/V	0		0		0		0	
#86	F	74	IPMN	s	1,1,1	p.G12D, Q61H/R	1	p.R201C	3	p.L122P	3	p.Q165X	0	
#87	F	77	IPMN	s	1,2,1,1	p.G12D//S, Q61L	0		0		0		0	
#88	F	83	IPMN	s	2	p.G12V	1	p.R201H	0		2	p.R282W	0	
#89	F	83	IPMN	s	0		1	p.R201H	0		4	p.R248W	0	
#90	M	70	IPMN	s	1,1	p.G12D, Q61H	1	p.Q227P	0		2	p.G245S	0	
#91	F	62	IPMN	s	1,1,2	p.G12V/R/S	3	p.R201C	0		0		0	
#92	F	70	IPMN	s	5,4,2	p.G12D//C	3,1	p.R201C, Q227R	0		0		0	
#93	M	62	IPMN	s	1,1,1	p.G12C/S, Q61H	0		0		0		0	
#94	F	66	IPMN	s	0		0		0		0		0	
#95	M	64	IPMN	s	0		2	p.R201C	0		0		0	
#96	M	76	IPMN	s	0		0		0		2	p.R248W	0	
#97	F	83	IPMN	s	13	p.G12V	1,1	p.R201C/H	0		0		0	
#98	F	68	IPMN	s	0		0		0		2	p.Q136X	0	
#99	F	60	IPMN	s	1,1	p.Q61L/R	1	p.R201H	3	p.S111G	0		0	
#14	M	48	LG-IPMN	s	0		1	p.R201H	4	p.A618V	0		0	
#16	F	63	LG-IPMN	f	2	p.G12D	0		0		0		0	
#17	F	60	LG-IPMN	f	5,8,1,3,2	p.G13D, G12C/R/V, Q61R	8	p.R201H	15	p.L12Rfs	2	p.R248Q	0	
#18	M	75	LG-IPMN	f	1	p.G12V	2,1	p.Q227R, R201C	0		0		0	
#100	M	78	LG-IPMN	f	1,2	p.G13D, Q61R	1	p.Q227R	0		2	p.R175H	0	
#101	M	72	LG-IPMN	f	0		0		0		0		0	
#19	F	67	IM-IPMN	s	2	p.G13D	2	p.R201C	0		0		0	
#20	F	73	IM-IPMN	s	0		2	p.R201C	0		2	p.R282W	0	
#21	F	67	IM-IPMN	s	1	p.G12V	1	p.R201C	0		0		3	p.W524R^
#22	M	65	IM-IPMN	f	2	p.G12V	0		0		0		0	
#102	M	72	IM-IPMN	s	5	p.Q61H	0		3	p.R127Q	0		0	
#103	F	75	IM-IPMN	f	7,1,18,1,18	p.G12A//R, G13D, Q61H	1	p.R201C	0		0		0	
#104	M	71	HG-IPMN	f	1,1,2,1,7,4,1	p.G12D/R/S, G13D, p.Q61L/H/P	0		0		0		0	
#31	M	79	PDAC/ IPMNs	s	1	p.G12V	0		0		2	p.R196X	0	
#32	M	69	PDAC/ IPMNs	s	1	p.Q61R	0		0		3	p.R175H	0	
#33	F	74	PDAC/ IPMNs	s	4,2	p.G13D, G12R	1	p.R201H	0		0		0	
#34	F	55	PDAC/ IPMNs	s	23,6,3,2,2,2,2,2	p.G12D/S/R/C/V, G13C/D	0		3	p.R454H	26	p.R248Q	0	

Continued

Table 1. Continued

Case#	M/F	Age	Disease group	Risk**	KRAS		GNAS		RNF43		TP53		SMAD4	
					dNGS#	SNV	dNGS#	SNV	dNGS#	SNV	dNGS#	SNV	dNGS#	SNV
#35	M	65	PDAC/ IPMNs	f	1	p.G12V	0		0		3	p.R248Q	0	
#36	M	74	PDAC/ IPMNs	f	21	p.G12D	2	p.R201C	0		0		26,4	p.Q311X, Q256X
#37	M	69	PDAC/ IPMN	s	0		61	p.R201C	0		87	p.L194R	0	
#38	M	73	PDAC/ IPMNs	s	12	p.G12D	1,1	p.R201C/H	0		0		0	
#105	M	68	PDAC/ IPMNs	s	1	p.G12D	6	p.R201C	3,3	p.F322S, H295R	8	p.C141G	0	
#106	M	44	PDAC/ IPMNs	s	10	p.Q61R	0		0		12	p.R175H	3	p.T273fs
#107	M	59	PDAC/ IPMN	s	8,8	p.G12D/S	0		10	p.P470fs	2	p.R175H	0	
#115	M	68	PDAC/ IPMN	s	6,1,1	p.G12C/S, Q61L	1	p.R201H	0		3	p.R181C	0	
#39	M	70	PDAC	f	0		0		0		0		0	
#40	M	53	PDAC	s	0		0		0		6	p. L130Sfs	0	
#41	F	46	PDAC	s	3,6	p.G12D/R	2	p.R201H	4	p.C471R	0		0	
#42	M	78	PDAC	s	6,2	p.G13D, G12V	1	p.R201C	0		0		0	
#43	F	59	PDAC	s	3,5	p.G12S/V	0		0		2	p.R248W	0	
#44	F	77	PDAC	s	1	p.G12D	2	p.R201C	5	p.H556R	3	p.R175H	7	p.A457V^
#45	M	56	PDAC	s	6,2	p.G12D/V	0		4	p.R519X	7	p.Y220C	0	
#46	M	58	PDAC	s	17	p.G12D	0		0		0		0	
#47	F	75	PDAC	s	32,22,4,4	p.G13D, Q61H, G12D/R	0		0		2	p.R273C	0	
#48	M	59	PDAC	f	0		0		3,3	p.L109P, V480A	3	p.C277R	3	p.M543T^
#49	F	69	PDAC	s	23,2	p.G12V/S	2	p.R201C	0		39	p.R196X	0	
#50	F	68	PDAC	s	0		0		0		0		0	
#51	F	66	PDAC	s	0		0		0		0		11	p.K50Kfs
#52	F	62	PDAC	f	4	p.G12D	0		0		0		0	
#53	F	79	PDAC	f	1,1	p.G12D/R	0		0		4,1,1	p.Y220C, R248W/Q	0	
#108	M	63	PDAC	s	0		1	p.Q227R	0		0		0	
#109	M	71	PDAC	s	1	p.Q61H	0		0		0		0	
#110	M	70	PDAC	f	0		0		3	p.H472R	2	p.R248W	0	
#111	M	62	PDAC	s	2,1	p.G12D, G13D	0		0		0		0	
#112	F	71	PDAC	s	1	p.Q61H	1	p.R201C	0		2,2	p.R248W, Y163C	0	
#113	F	77	PDAC	s	11	p.G12V	1	p.R201H	0		2	p.Y220C	0	
#114	M	57	PDAC	s	0		0		0		0		0	

Case #20 had a BRAF K601K mutation (score 4), Case #26 had a TGFBR2 mutation D317Y (score 4), Case #53 had PIK3CA mutations H1047L/R (score 3, 6), Case#105 had a BRAF F595L mutation (score 4), Case # had a Case #107 had a PIK3CA H1047R mutation (score 3).

\*, Other four genes shown in supplementary table; \*\*, s: sporadic; f: familial. dNGS#:digital NGS score (mutation concentration); SNV:single nucleotide variant (i.e. somatic mutation).

^suspected pathogenic.

appearing pancreatic body and tail cysts (diameters 6–10 mm) and focal main pancreatic duct (3.7 mm) dilation were detected by EUS and MRI/MRCP. At diagnosis MRI/MRCP identified a new 3 cm pancreatic head mass. Pancreatic-protocol CT (3D) also identified this mass with duodenal wall distortion. EUS confirmed duodenal distortion and fine-needle aspiration (FNA) confirmed cancer. His resection specimen harboured Stage IIB moderately differentiated PDAC, multifocal PanIN-2 and PanIN-3. Digital NGS analysis of the pancreatic juice sample

collected 4 months before diagnosis found high mutant *KRAS* (G12D) and mutant *SMAD4* (Q311X) concentrations: both mutations were detected in his resected pancreatic cancer. This juice sample also had a *GNAS* (R201C) mutation likely from his IPMN. His juice sample collected 16 months before diagnosis had low *SMAD4* Q311X mutation concentrations, as well as mutations not found in his cancer. Low levels of *KRAS* G12D and *GNAS* R201C were detected in the 61-month prediagnostic juice sample, but not the *SMAD4* mutation.

**Table 2** Statistical analysis of pancreatic juice mutation concentrations by digital NGS scores

dNGS #	n	KRAS only		GNAS only		TP53 only		TP53+SMAD4		All 9 genes	
		Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Controls	24	0.8	1.4	0.4	1.0	0.0	0.0	0.0	0.0	1.3	1.8
IPMN	56	3.9	6.9	1.1	1.8	0.7	1.2	0.8	1.3	7.1	8.9
PDAC	34	8.2	13	2.4	10.4	6.5	16.3	8.2	16.6	20.7	29.6
Mann-Whitney test		KRAS only		GNAS only		TP53 only		TP53+SMAD4		All 9 genes	
		p Value		p Value		p Value		p Value		p Value	
PDAC vs controls		0.001		0.098		<0.0001		<0.0001		<0.0001	
IPMN v controls		<0.001		0.006		0.007		0.007		<0.0001	
PDAC vs IPMN		0.335		0.281		0.0003		<0.0001		0.003	

dNGS#, digital NGS score (mutation concentration); IPMN, intraductal papillary mucinous neoplasm; NGS, next-generation sequencing; PDAC, pancreatic ductal adenocarcinoma.

Case#35 (see online supplementary table S7) developed pancreatic cancer in his tail while under surveillance for pancreatic body cysts. This patient had a *TP53* R248Q mutation identified in his resected primary cancer that was detectable by digital NGS in the juice samples collected 13 months before diagnosis and at diagnosis. We previously reported using digital melt-curve analysis with pyrosequencing to detect this mutation in the prediagnostic juice sample of this case.<sup>13</sup>

Case#48 (see online supplementary table S7) had mutations detected in his juice sample collected at the time of his diagnosis, but not in a juice sample collected 15 months before diagnosis. At this 15-month visit, a fatty atrophic pancreas and a small pancreatic-body cyst (4.3 mm) were detected by EUS and MRI. He underwent total pancreatectomy after neoadjuvant therapy.

Case#53 underwent five EUS surveillance visits over 4 years (see online supplementary table S7). For the first 3 years, EUS, MRI and CT all revealed multiple subcentimetre pancreatic body and tail cysts, and a 2.4 cm pancreatic neck cyst with minimal adjacent focal duct dilation but no concerning features. This cyst, sampled on three EUS visits, had unremarkable cytology. The merits of surgical resection were discussed at our multidisciplinary CAPS conference and the consensus was MRI within 6 months, EUS in 12 months. Instead, the patient had an unremarkable abdominal CT scan after 12 months, and

4 months later, presented with recent weight loss and abdominal pain. Pancreatic-protocol CT and 3D reconstruction identified new duodenal compression. EUS revealed a pancreatic head mass causing proximal duodenal compression that limited duodenal intubation and precluded pancreatic juice collection with no change in the pancreatic cysts. FNA confirmed cancer. The patient underwent pancreaticoduodenectomy for a 2.5 cm diameter poorly differentiated, T3N1, margin-negative, PDAC and multifocal PanIN-2. Surgical pathology concluded the cancer arose from an IPMN, consistent with imaging findings. Laser capture microdissection was performed to isolate tumour DNA from four regions of the resected pancreatic cancer (~0.5 cm to 1 cm apart). Ninety-six digital NGS reactions performed on these four cancer samples and an EUS/FNA sample of the cancer revealed mutations in *KRAS* (G12D), *TP53* (Q100Rframeshift) and *SMAD4* (Q388X) in all four cancer specimens, as well as subclonal mutations (*SMAD4* G386R, H290R) in some of the samples. Digital NGS of the EUS/FNA sample identified the *KRAS* G12D mutation and the *SMAD4* H290R mutation, but other mutations detected in the resected cancer were not found despite having an adequate FNA sample (with 13% *KRAS* G12D concentration). In addition, the FNA sample had a mutation not found in the resected cancer samples (*TP53* L344P), consistent with genetic heterogeneity in

**Table 3** Receiver operating characteristic (ROC) curve analysis of pancreatic juice mutation concentrations (overall 115#)

		KRAS only	GNAS only	TP53 only	TP53+SMAD4	All 9 genes
PDAC vs controls	AUC	0.74	0.61	0.79	0.82	0.89
	95% CI of AUC	0.62 to 0.87	0.46 to 0.76	0.68 to 0.91	0.72 to 0.93	0.80 to 0.97
	Specificity	91.7%	83.4%	100.0%	100.0%	83.4%
	Sensitivity	50.0%	38.2%	58.8%	64.7%	85.3%
	p Value	0.002	0.174	0.0002	<0.0001	<0.0001
IPMN vs controls	AUC	0.74	0.67	0.63	0.63	0.85
	95% CI of AUC	0.62 to 0.85	0.55 to 0.80	0.51 to 0.76	0.51 to 0.76	0.76 to 0.93
	Specificity	83.4%	83.4%	100.0%	100.0%	83.4%
	Sensitivity	57.1%	51.8%	26.8%	26.8%	73.2%
	p Value	0.001	0.015	0.058	0.058	<0.0001
PDAC vs IPMN	AUC	0.56	0.56	0.69	0.73	0.69
	95% CI of AUC	0.43 to 0.70	0.43 to 0.68	0.58 to 0.81	0.62 to 0.85	0.56 to 0.81
	Specificity	42.9%	51.8%	73.2%	73.2%	85.7%
	Sensitivity	52.9%	61.8%	58.8%	64.7%	52.9%
	p Value	0.290	0.324	0.002	0.0002	0.003

AUC, area under the curve; IPMN, intraductal papillary mucinous neoplasm; PDAC, pancreatic ductal adenocarcinoma.



**Table 4** Serial pancreatic juice collections from a patient who developed pancreatic cancer during surveillance

Case#	Time (months before PDAC diagnosis)	GNAS		KRAS		TP53		SMAD4	
		dNGS#	SNV	dNGS#	SNV	dNGS#	SNV	dNGS#	SNV
Case#36_1	61	3	p.R201C	2	p.G12D	1	p.R175H	1	p.Q256X
Case#36_2	16	0		3	p.G12R	4	p.R175H	1	p.Q311X
Case#36_3	4	2	p.R201C	21	p.G12D	1	p.R175H	26,4	p.Q311X/p.Q256X
Case#36_PDAC_tumour tissue	0	1	p.R201C	75	p.G12D	0		90	p.Q311X

dNGS#, digital NGS score (mutation concentration); NGS, next-generation sequencing; PDAC, pancreatic ductal adenocarcinoma; SNV, single nucleotide variant (ie, somatic mutation).

geographical regions of pancreatic and other carcinomas.<sup>30 31</sup> We also analysed three pancreatic cyst fluid samples collected 16–36 months before pancreatic cancer diagnosis. Each cyst fluid sample had only *GNAS* R201C, *KRAS* G12V and *KRAS* G12D mutations. Notably, the pancreatic cancer did not have a *GNAS* mutation, additional evidence that the cancer did not arise from IPMN. Digital NGS analysis was also performed on four pancreatic juice samples. The baseline juice sample collected 4 years prediagnosis contained only low levels of mutant *KRAS*. The juice sample collected closest to her pancreatic cancer diagnosis (19 months prediagnosis) had *KRAS* codon 12 mutations and a *TP53* mutation (Y220C) but not the *SMAD4* or *TP53* mutations detected in the cancer (see online supplementary table S6).

## DISCUSSION

Pancreatic imaging is used to identify evidence of pancreatic neoplasia, but currently used tests have limitations: they cannot identify PanIN, and they often cannot adequately evaluate the neoplastic nature of pancreatic cysts. EUS is considered an excellent test to detect small solid pancreatic masses,<sup>32</sup> but EUS can miss isoechoic lesions, and the accuracy of EUS for detecting very small (subcentimetre) PDACs has not been extensively studied.

Molecular analysis of pancreatic juice could, in theory, provide evidence for the presence of pancreatic neoplasia that may not be evident using pancreatic imaging tests. Overall, the prevalence of mutations detected by digital NGS in our study population is consistent with the expected prevalence of mutations in precursor neoplasms and pancreatic cancer.<sup>11 24 33</sup> We have previously demonstrated that *KRAS* and *GNAS* mutations can be detected in duodenal collections of pancreatic juice<sup>14 15 34</sup> and that these mutations are commonly found in patients with pancreatic cancer, and in patients undergoing screening for their family history of pancreatic cancer. We also found that when mutations (usually mutant *KRAS* or *GNAS*) were detected in controls without evidence of pancreatic neoplasia, their concentrations were usually low, consistent with prior reports.<sup>14 15</sup> Since most patients with low-grade PanINs and IPMNs will not develop an invasive pancreatic cancer,<sup>35</sup> additional diagnostic markers are needed that are more specific for the presence of high-grade dysplasia and early invasive pancreatic cancer. We find that mutations in *TP53* which are thought to emerge as PanIN and IPMN progress from low-grade to high-grade dysplasia and invasive pancreatic cancer,<sup>11</sup> are commonly found in the pancreatic juice samples of patients with invasive pancreatic cancer but were not detected in controls without neoplasia. Low concentrations of *TP53* mutations were detected in a minority of IPMN cases, but higher concentrations (mutation score  $\geq 5$ ) were only detected in cases with pancreatic cancer. Long-term follow-up will be required to

determine if low levels of *TP53* mutations in pancreatic juice is associated with neoplastic progression. We found that total mutant DNA concentrations could distinguish PDAC cases from controls with high accuracy (AUC 0.89,  $p < 0.001$ ). *SMAD4* mutations were the most specific for pancreatic cancer; only 1 of 80 cases without pancreatic cancer had a *SMAD4* mutation; an IPMN case with high-risk features.<sup>29</sup>

The pancreatic juice digital NGS results of the high-risk individuals under surveillance who subsequently developed an invasive pancreatic cancer highlight the potential clinical utility of this test. Two of these cases had *SMAD4* or *TP53* mutations detectable in their pancreatic juice samples over 1 year prior to their pancreatic cancer diagnosis, at a time when no suspicious lesion was evident by imaging. These mutations matched those found in their cancers. These cases also provide some insight into how quickly a pancreatic cancer can emerge (and reach Stage IIB disease) after a non-concerning EUS exam. While mathematical modelling of gene alterations of pancreatic cancers has been used to estimate that it takes many years for an initiating pancreatic cancer cell to spread beyond the pancreas,<sup>31</sup> other reports, that have investigated pancreatic cancer growth and progression in patients, emphasise the rapid progression of pancreatic cancer.<sup>36 37</sup> Thus, analyses using patient age and tumour stage at diagnosis,<sup>36</sup> observations of the extent of tumour progression in some patients awaiting resection of their pancreatic cancer,<sup>37</sup> as well as the experience of screening high-risk patients all support the notion that the time it takes for pancreatic cancers to grow from an undetectable to a detectable stage (representing the growth through the T1 stage to higher T stages) is short (perhaps 1 year or less). These reports are also consistent with newer mathematical models of primary malignant tumour growth that account for tumour cell migration within the tumour.<sup>38</sup> Since the ultimate goal of pancreatic screening is to prevent death by identifying either Stage I pancreatic cancer or if possible, PanIN-3 lesions, current pancreatic screening protocols recommend annual surveillance even when there are lesions detected by imaging.<sup>39</sup>

The limitations of our pancreatic juice sampling and analysis in its current form is suggested by the lack of any detectable mutations in several PDAC cases, as well as the lack of any detectable mutations in the juice sample from Case#48 collected 15 months before their PDAC diagnosis. The results of Case#53 also gave insight into the limitations of pancreatic juice analysis—the *SMAD4* and *TP53* mutations identified in the cancer were not detected in the pancreatic juice sample collected 19 months prediagnosis, nor was the *GNAS* R201C mutation that was detected in IPMN cyst fluid samples. Although *SMAD4* mutations and high *TP53* mutation concentrations could distinguish pancreatic cancer cases from those with IPMN with high specificity, improvements in the diagnostic sensitivity of our pancreatic juice test are needed. Such improvements might require

obtaining a better pancreatic juice sample. Pancreatic juice samples collected from the duodenum has much higher mutation concentrations than pancreatic juice collected from the pancreatic duct.<sup>12</sup> An ideal pancreatic juice test would distinguish cases with either PDAC, IPMN with high-grade dysplasia or PanIN-3 from those with low-grade dysplasia/PanIN-1, particularly in the high-risk population where the prevalence of IPMN is common.<sup>2</sup> Results in one case also highlighted the limitations of using FNAs for deep sequencing to detect mutations in the cancer; several of the mutations present in the resected tumour sample were not detected in the FNA sample. This case highlights the challenges tumour heterogeneity poses for performing comprehensive mutational analysis of pancreatic tumour samples. One strength of this study was that we were able to investigate the pancreatic juice mutation profiles of several patients whose samples were collected months to years before pancreatic cancer diagnosis. More of these patients need to be studied to better evaluate pancreatic cancer early detection tests, but this requires large numbers of patients to undergo regular screening and biospecimen collections.

Pancreatic cancers that occur in patients with pre-existing IPMN often do not arise from their IPMN. This was true of the four cases reported that developed invasive pancreatic cancer while under surveillance. Since pancreatic juice samples contain markers shed from throughout the pancreatic ductal system, analysis of juice samples from patients with pancreatic cysts has the potential to identify evidence of PanIN or invasive cancer apart from their cyst(s). In this regard, pancreatic juice may have a complementary role to pancreatic cyst fluid analysis, which is a better sample to analyse to identify the neoplastic nature of a pancreatic cyst.<sup>22</sup> The need to evaluate the whole pancreas is likely to be particularly important for patients with a family history of pancreatic cancer who often have multifocal PanINs and IPMNs in their pancreas.<sup>40</sup> Indeed, despite the fact that IPMNs are commonly detected in patients undergoing pancreatic screening for their family history of pancreatic cancer, most pancreatic cancers that develop in these patients are thought to arise through the PanIN pathway.<sup>11</sup> Consistent with this hypothesis, a histological review of over 1000 pancreatic cancers found no significant differences (such as more IPMN-associated cancers) between familial and sporadic cases.<sup>41</sup> Recognising the importance of PanIN in pancreatic cancer development, even among patients who have pancreatic cysts, has implications for how we screen patients with pancreatic cysts, particularly those with an extensive family history of pancreatic cancer.<sup>42</sup> Subcentimetre pancreatic cysts may have low risk of progression to cancer, but patients with these cysts may still benefit from regular surveillance to detect PanIN-related progression.

The digital NGS assay could be used to identify low-abundance mutations in other biological samples where mutation concentrations are expected to be lower than the limit of detection of conventional NGS assays, and since it can be performed with standard NGS reagents, could be readily incorporated into molecular diagnostic laboratory protocols after inhouse evaluation.

Overall, these results point to the potential clinical utility of a pancreatic juice NGS test for selected patients undergoing pancreatic evaluation. Before NGS-based tests of pancreatic juice can become a clinical test, further evaluation of the utility of NGS analysis of pancreatic juice in patients undergoing pancreatic evaluation is needed, particularly in clinical scenarios where the test would be most useful, such as patients undergoing pancreatic screening and surveillance for their familial risk of pancreatic cancer with incidentally identified pancreatic cysts.

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# Digital next-generation sequencing identifies low-abundance mutations in pancreatic juice samples collected from the duodenum of patients with pancreatic cancer and intraductal papillary mucinous neoplasms

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